Duke University
Political Science 158 – Public Policy 181
Non-State Actors in World Politics
Spring 2011

Lectures: Mon & Wed 10:05-11:20am, Social Sciences, Room 228
Section: Fri 9:00 - 9:50am, Allen Building, Room 304i
http://polisci.duke.edu/ps158/

Prof. Tim Büthe
303 Perkins Library
Department of Political Science
(919) 660-4365
buthe@duke.edu
office hours: Mondays 11:30am - 12:30pm

Teaching Assistant: Kiril Kolev
kiril.kolev@duke.edu; (832) 659-1395

Writing Tutor: Gloria Ayee
gloria.ayee@duke.edu; (919) 452-5691

Purpose:
PS158/PPS181 is a course about the role of non-state actors in world politics. We address questions such as: Do international organizations change the way in which governments deal with conflicts of interest between them, for instance over tariffs and non-tariff barriers to trade? Can non-governmental organizations (NGOs) change human rights norms and practices? Can multinational corporations? Can international law? What role do "epistemic communities," such as transnational networks of scientists, play in international environmental politics? Do supranational courts threaten sovereignty and democracy? Does globalization undermine consumer safety? What is the nationality of firms? Does it matter? Do international terrorist networks fundamentally alter the nature of world politics?

By most accounts, non-state actors are increasingly important in world politics, though observers differ on whether we are experiencing a kind of globalization of civil society, an internationalization of domestic politics, or a privatization of governance. We therefore ask more generally under what conditions non-state actors play a significant role in world politics. We then turn to several issue areas in which non-state actors play an—arguably important—role.

"Non-State Actors in World Politics" is cross-listed for Political Science and Public Policy (it can also be taken for credit in the International Comparative Studies major and for the Markets & Management certificate. The course can be used to fulfill curriculum code requirements R (research), STS (science, technology & society), or W (writing in the disciplines). I welcome undergraduate students from any major and with any background; graduate students interested in taking this course should speak to me before enrolling.

Requirements
Lectures, class discussion, and the required readings complement each other; they are not substitutes. In addition, there will be an optional but highly recommended discussion section to give you a chance to further discuss the material covered in lectures and readings, probe your own understanding, and benefit from the insights of your peers. Section will meet weekly (starting the week of Jan. 24) at places and times to be arranged. Regular, good, and thoughtful section participation will be rewarded with extra credit.

The main requirement for this course is to write a research paper of 3,000 to 4,000 words (15 pages) on a course-related topic of your choice (see details and schedule below). There also will be a midterm and a short final written exercise (both closed-book in-class) covering all of the material from readings and lectures, but the research paper is key. Your grade will be calculated based on:

- 2 in-class written exercises: 35%
- 1 research paper: 55%
- class participation: 10%

Students are also expected to follow current events through a semester-long subscription to the Financial Times (FT), available at a great discount for college students; this is part of the required
readings). Following current events in world politics allows you to gain a better understanding of general ideas and arguments in a specific context—and/or it may lead you to question those ideas. In lecture and discussion sections, we will expect to be able to draw on students’ familiarity with current events, based on the coverage in the FT.

The other required readings are listed below. The reading load is fairly heavy in the beginning of the semester to expose you to a variety of approaches and substantive issues. The amount of required reading declines later to allow you to focus more on your research and writing. "Recommended" readings are strictly optional and primarily for those who seek a more in-depth treatment of the issues covered in lecture.

A final, general requirement for the course is to follow Duke University’s general policies for illnesses, extraordinary personal circumstances, religious observances, and varsity athletic participation as announced by the deans of Trinity College and posted in the Department of Political Science, as well as the Duke Community Standard, especially concerning academic honesty (which can be found at http://registrar.duke.edu/bulletins/communitystandard/).

The Research Paper

The research paper for PS158/PPS181 will be a great way to hone your skills of making, presenting, and supporting an argument as well as gaining experience with empirical research. The purpose of research is to find out something we do not know already, or to show that something we think we know is not so. A social science research paper therefore consists not just of presenting "facts," but crucially includes the explicit interpretation and analysis of the empirical information that you gather. You can think of it as an opportunity to apply the analytical tools that you acquire in this and other courses to a topic that particularly interests and excites you. The paper involves developing an argument or explanation of your own, which should be more nuanced than arguments that you can make in short writing assignments. You also need to examine how well your argument is supported empirically. Research papers written for this course in past years have won prizes and been published in undergraduate and professional journals.

A research paper is not written overnight. The research itself requires advance thinking and planning. Ask yourself: What exactly is the question that I want to answer and how can I find out what I need to know in order to answer it? A research paper also requires thinking ahead about how best to present your findings (the structure of the paper is a rhetorical device). We will talk about these issues in class, and there are a couple of highly recommended handouts on writing a research paper and on issues of style, referencing, etc. on the course website. To put it most simply: A good research paper begins with an introduction that clearly states the central question to be addressed and why it is interesting, then presents a well-reasoned argument of your own, discusses counter-arguments, presents a well-documented empirical analysis, and ends with a succinct conclusion that makes explicit the implications of your findings for the central research question(s). It also includes a list of references that allows any reader to find your exact sources and replicate your research.

I encourage students to work with the writing tutors in Duke’s Writing Studio (http://uwp.duke.edu/writing-studio). Getting their (or your peers’) input and comments on drafts is perfectly legitimate, as long as the research and (re)writing is your own and the advice is acknowledged in the first footnote of the paper.

By the beginning of class on Monday, Feb. 21, at the latest, you should submit a brief memo—formatted properly as a memo—which states clearly (1) what your topic is, (2) why this is interesting to you and why it should be interesting to others, (3) what your key analytical questions are, and—very importantly—(4) how you will go about answering them. We will return the topic memo to you with comments by Friday, Feb. 25. (If you would like to change your topic later, you may certainly do so, but you must talk to me first.) On Monday, Mar. 14, a detailed outline is due (at the beginning of class), which must give a clear sense of the structure of the paper as you envision it. I will discuss how to do this. We will return the outline to you with comments on Wednesday, Mar. 16. The topic memo and the outline are both required and will be graded check-plus, check, check-minus or "no credit." If you receive a check-minus or "no credit," you will have to re-submit the assignment by noon on Friday, Mar. 4 or at the beginning of class on Monday, Mar. 21, respectively. You cannot get a passing grade for the paper without having gotten at least a check on both the topic memo and the outline. A first draft of the paper is due at the latest at the beginning of class on Wednesday, April 13. I encourage you to submit it early. This required draft need not be as polished as the final version, but it must contain all parts of the paper. It also must be at least 2,000 words in length (and absolutely no more than 4,000), written in full sentences, properly spell-checked, and include references, as well as a word count. We will return your first drafts with comments as soon as possible, in the order in which they are received. The memo,
outline, and first draft must each be submitted electronically in a Word processor file format (such as Microsoft Word) via the "drop box" of the Blackboard course website.

The final draft is due no later than 7pm on Friday, May 6. Please submit an electronic copy via Blackboard in a word processor format and a hard copy to my office or mailbox in the Political Science department. The final paper must be written in full sentences, properly spell-checked, and include references as well as a word count, reported at the bottom of the last page. Your name should appear only on the title page (which should be stapled to the other pages), and your title should re-appear at the top of the first page of text, so as to allow me to read and grade papers anonymously.

Given the broad range of issues covered in this class, I expect students' topics to be related to one of the issue areas covered in this course, unless you have a specific reason for wanting to work on a topic unrelated to those covered here. I urge you to start thinking about a topic for your research paper right away and encourage you to see me and/or the teaching assistant early to help you clarify ideas and formulate interesting research questions.

Readings

Some of the required readings are available to you online via Duke's electronic journal holdings, (marked "online" below). Several others are on electronic reserves ("e-res," access to e-reserves is restricted to students registered for the course); a few items are exclusively accessible via the Blackboard portion of the course website ("cws"). Many readings are from the books below, which are available for purchase at Duke's Textbook Store and available on reserve at Perkins Library.


INTRODUCTION

1. Introduction to Non-State Actors in World Politics: Illustrative Episodes (Wed, Jan. 12)


NOTE: No class on Mon, Jan. 17, 2010: Martin Luther King Holiday

THINKING THEORETICALLY ABOUT NON-STATE ACTORS IN WORLD POLITICS

2. Thinking Theoretically about Non-State Actors in World Politics (Wed, Jan. 19)
3. Authority, Democracy, and Accountability (Mon, Jan. 24)

Recommended:


e-res Murphy, Craig N. [Selections from:] International Organization and Industrial Change: Global Governance since 1850. New York: Oxford University Press, 1994: 1-11, 46-49, 62-64, 68-73, 82-85, 190 (Figure 5), and 106-108.

e-res From Yearbook of International Organizations. 46th edition. Brussels: Union of International Associations (UIA), 2009/10:

- United Nations (UN)
- World Trade Organization (WTO)
- International Telecommunications Union (ITU)
- Greenpeace International
- Bureau International des Médecins sans frontières (MSF)
- Association for the Taxation of Financial Transactions for the Aid of Citizens (ATTAC)
- Centro Interuniversitario de Desarrollo
- Centre for International Research on Economic Tendency Surveys (CIRET)
- Rotary International
- Arab Geologists' Association
- Federation of ASEAN Shipowners Associations (FASA)
- Federación Latinoamericana de Trabajadores de la Industria y la Construcción
- International Federation of Association Football (FIFA)
- International Ski Federation (FIS)


HB Hall, Rodney Bruce and Thomas J. Biersteker. [Section on 'Private Authority and Democratic Accountability' from:] "Private Authority as Global Governance." In Hall and Biersteker, eds., 2002: 211-213.


Recommended:


4. Conducting and Evaluating Social Science Research and Writing (Wed, Jan. 26)


e-res King, Gary, Robert O. Keohane, and Sidney Verba. [Selections from:] Designing Social Inquiry: Scientific Inference in Qualitative Research. Princeton: Princeton University Press, 1994: Sections 1.1.0, 1.1.1, 1.1.3, 1.2.0, 1.2.1, 1.2.3, 1.3.3, 1.3.4; 2.1.2, 2.2, 2.6; 3.0 - 3.3.2; 4.3 - 4.4.5 (= pp. 3.6f, 9-19, 23-27, 31-33, 42f, 46-49, 55-63; 75-95; 128-149]


Recommended:

INTER- & TRANSNATIONAL ENVIRONMENTAL POLITICS

5. The Environment: An International Public Good? (Mon, Jan. 31)


Recommended:


6. Transnational Environmental Politics (Wed, Feb. 2)


Recommended:


**THE POLITICS OF STANDARDS**

7. Standards as Instruments of Governance (Mon, Feb. 7)


**Recommended:**


8. Private Non-Market Standard-Setting (Wed, Feb. 9)


**Recommended:**


**COURTS AND COURT-LIKE BODIES AS ACTORS IN WORLD POLITICS**

9. Domestic and Supranational Courts (Mon, Feb. 14)


Recommended:

Wednesday, Feb. 16, 2010: MIDTERM
The midterm is a closed-book written exercise given in class, only.

11. Transnational Politics of Human Rights I (Mon, Feb. 21)

**RESEARCH TOPIC MEMO DUE AT THE BEGINNING OF CLASS!**


12. Transnational Politics of Human Rights II (Wed, Feb. 23)


Recommended:

**TRANSNATIONAL ECONOMIC ACTORS**

13. Firms and Labor in the Global Economy; the Anti-Globalization Movement (Mon, Feb. 28)


Explore: http://www.attac.org

Recommended:


14. Regulation: Does Globalization Lead to a Race to the Bottom? (Wed, Mar. 2)


Recommended:


**NOTE:** No class Mon/Wed, Mar. 7/9 (Spring Break).

**DEVELOPMENT**

15. Development: Economic and Beyond (Mon, Mar. 14)

**RESEARCH PAPER OUTLINE DUE AT THE BEGINNING OF CLASS!**


16. Development Aid and NGOs (Wed, Mar. 16)

GOVERNMENTAL ACTORS ABOVE AND BELOW THE STATE

17. Transgovernmental Networks, International Officials, and U.S. States (Mon, Mar. 21)


Recommended:


SPREAD OF IDEAS, NORMS, AND BELIEFS

19. The Transnational Spread of Ideas (Mon, Mar. 28)

Keck, Margaret and Kathryn Sikkink. [Sections on 'International Pressures for the Abolition of Slavery,' 'The International Movement for Woman Suffrage,' and 'Conclusion' from:] "Historical Precursors to Modern Transnational Advocacy Networks." In Keck and Sikkink, 1998: 39-58, 72-78.


Recommended:


20. Religious Groups as Transnational Political Actors (Wed, Mar. 30)


Recommended:


DOMESTIC AND INT'L SECURITY & NON-STATE ACTORS

21. Mercenaries & Private Military Companies (Mon, Apr. 4)


Singer, Peter W. "Outsourcing War." Foreign Affairs vol.84 no.3 (March/April 2005): 119-132.

23. When State and Nation Do Not Coincide: Ethnic Groups and Conflicts (Mon, Apr. 11)


**24a. Conflict and Cooperation among Transnational Actors** (Wed, Apr. 13)

**1st DRAFT OF THE RESEARCH PAPER DUE AT THE BEGINNING OF CLASS!**


**Recommended:**


**24b. Transnational Organized Crime** (Wed, Apr. 13)


+ supplemental materials TBA

**Recommended:**


**25. Inter-/Transnational Terrorism I** (Mon, Apr. 18)


**Recommended:**


26. Inter-/Transnational Terrorism II (Wed, Apr. 20)


Recommended:
Katzenstein, Peter J. "Same War, Different Views: Germany, Japan, and Counterterrorism." International Organization vol.57 no.4 (Fall 2003): 731-760.

27. Conclusions & Review (Mon, Apr. 25)

HB Biersteker, Thomas J. and Hall, Rodney Bruce. Section on "The Reversibility of Private Authority." In Hall and Biersteker, eds., 2002: 213-222.


Recommended:

Wednesday, 27 April 2011: FINAL
This is an in-class, closed-book written exercise, with special emphasis on the material covered since the midterm.

Friday, 6 May 2011, 7pm: RESEARCH PAPER DUE