# ECE 650 Systems Programming & Engineering Spring 2018

#### Inter-process Communication (IPC)

Tyler Bletsch Duke University

Slides are adapted from Brian Rogers (Duke)

#### **Recall Process vs. Thread**



- A process is
  - Execution context
    - PC, SP, Regs
  - Code
  - Data
  - Stack



- A thread is
  - Execution context
    - Program counter (PC)
    - Stack pointer (SP)
    - Registers

## Cooperation

- Two or more threads or processes execute concurrently
- Sometimes cooperate to perform a task
  - Sometimes independent; not relevant for IPC discussion
- How do they communicate?
  - Threads of the same process: Shared Memory
    - Recall they share a process context
      - Code, static data, \*heap\*
    - Can read and write the same memory
      - variables, arrays, structures, etc.
  - What about threads of different processes?
    - They do not have access to each other's memory

## **Models for IPC**

- Shared Memory
  - E.g. what we've discussed for threads of same process
  - Also possible across processes
    - E.g. memory mapped files (mmap)
- Message Passing
  - Use the OS as an intermediary
  - E.g. Files, Pipes, FIFOs, Messages, Signals

#### **Models for IPC**



## Shared Memory vs. Message Passing

- Shared Memory
  - Advantages
    - Fast
    - Easy to share data (nothing special to set up)
  - Disadvantages
    - Need synchronization! Can be tricky to eliminate race conditions
- Message Passing
  - Advantages
    - Trust not required between sender / receiver (receiver can verify)
    - Set of shared data is explicit
    - Is synchronization needed?
  - Disadvantages
    - Explicit programming support needed to share data
    - Performance overhead (e.g. to copy messages through OS space)

## **Shared Memory Across Processes**

- Different OSes have different APIs for this
- UNIX
  - System V shared memory (shmget)
    - Allows sharing between arbitrary processes
    - http://www.tldp.org/LDP/lpg/node21.html
  - Shared mappings (mmap on a file)
    - Different forms for only related processors or unrelated processes (via filesystem interaction)
  - POSIX shared memory (shm\_open + mmap)
    - Sharing between arbitrary processes; no overhead of filesystem I/O
- Still requires synchronization!

#### mmap

#include <sys/mman.h>

void \*mmap(void \*addr, size\_t length, int prot, int flags, int fd, off\_t offset);

- Creates new mapping in virtual address space of caller
  - addr: starting address for mapping (or NULL to let kernel decide)
  - length: # bytes to map starting at "offset" of the file
  - prot: desired memory protection of the mapping
    - PROT\_EXEC, PROT\_READ, PROT\_WRITE, PROT\_NONE
  - flags: are updates to mapping are visible to other processes?
    - MAP\_SHARED, MAP\_PRIVATE
    - Other flags can be added, e.g. MAP\_ANON (more later)
  - fd: file descriptor for open file
    - Can close the "fd" file after calling mmap()
  - Return value is the address where the mapping was made

#### mmap operation

- Kernel takes an open file (given by FD)
- Maps that into process address space
  - In unallocated space between stack & heap regions
  - Thus also maps file into physical memory
  - Creates one-to-one correspondence between a memory address and a word in the file
- Useful even apart from the context of IPC
  - Allows programmer to read/write file contents without read(), write() system calls
- Multiple (even non-related) processes can share mem
  - They open & mmap the same file

#### munmap

#include <sys/mman.h>
int munmap(void \*addr, size\_t length);

- Removes mapping from process address space
  - addr: address of the mapping
  - length: # bytes in mapped region

#include <sys/mman.h>

int msync(void \*addr, size\_t length, int flags);

- Flushes file contents in memory back out to disk
  - addr: address of the mapping
  - length: # bytes in mapped region
  - flags: control when the update happens

## **Synchronization**

#### • Semaphores

```
#include <fcntl.h> /* For O_* constants */
#include <sys/stat.h> /* For mode constants */
#include <semaphore.h>
sem_t *sem_open(const char *name, int oflag, mode_t mode, int value);
or
```

int sem\_init(sem\_t \*sem, int pshared, unsigned int value);

```
sem_t *mutex;
mutex = sem_open("my_sem_name", O_CREAT | O_EXCL,
MAP_SHARED, 1);
sem_wait(mutex);
//Critical section
sem_post(mutex);
```

#### Example

- Show code & run in class
  - mmap\_basic and mmap\_basic2

## **Taking it Further**

- This required some work
  - Create file in file system
  - Open the file & initialize it (e.g. with 0's)
- There is a better way if just sharing mem across a fork()
  - Anonymous memory mapping
- Use mmap flags of MAP\_SHARED | MAP\_ANON
  - File descriptor will be ignored (also offset)
  - Memory initialized to 0
  - Alternative approach: open /dev/zero & mmap it
- Can anonymous approach work across non-related processes?

## Message Passing

- Messages between processes, facilitated by OS
- Several approaches:
  - Files
    - Can open the same file between processes
    - Communicate by reading and writing info from the file
    - Can be difficult to coordinate
  - Pipes
  - FIFOs
  - Messages (message passing)

## Pipes

#include <unistd.h>
int pipe(int pipefd[2]);

- Creates a unidirectional channel (pipe)
- Can be used for IPC between processes / threads
- Returns 2 file descriptors
  - pipefd[0] is the read end
  - pipefd[1] is the write end
- Kernel support
  - Data written to write end is buffered by kernel until read
  - Data is read in same order as it was written
  - No synchronization needed (kernel provides this)
  - Must be related processes (e.g. children of same parent)

#### Example

```
#include <stdio.h>
#include <stdlib.h>
#include <unistd.h>
#define N 1024
int main(int argc, char *argv[]) {
    int pipefd[2];
    char data buffer[N];
   pipe(pipefd);
    int id = fork();
    if (id == 0) { //child
        write(pipefd[1], "hello", 6);
    } else {
        read(pipefd[0], data buffer, 6);
        printf("Received data: %s\n", data buffer);
    }
    return 0;
}
```

#### **More Complex Uses of Pipes**

- Can use pipes to coordinate processes
- For example, chain output of one process to input of next
  - E.g. command pipes in UNIX shell!
- Requires 1 additional (very useful) piece
   #include <unistd.h>

int dup2(int oldfd, int newfd);

- Creates a copy of an open file descriptor into a new one
  - After closing the new file descriptor if it was open

## **UNIX Pipes Example**

- Show code & run in class
  - pipe\_basic

### **UNIX FIFOs**

- Similar to a pipe
  - Also called a "named pipe"
- Persist beyond lifetime of the processes that create them
- Exist as a file in the file system
   #include <sys/types.h>
   #include <sys/stat.h>
   int mkfifo(const char \*pathname, mode\_t mode);
- pathname points to the file
- Mode specifies the FIFO's permissions (similar to a file)

## UNIX FIFOs (2)

- After FIFO is created, processes must open it
  - By default, first open blocks until a second process also opens
  - One process opens for reading and the other process for writing
- Since FIFOs persist, they can be re-used
- No synchronization needed (like pipes, OS handles it)

## **Playing with FIFOs on the shell**

- Can create a FIFO using mkfifo command
  - Note: need to be in a UNIX-style filesystem to do this. Your shared Duke home directory is a Windows-style filesystem, so try this in /tmp if using the Duke Linux environment
- Can read/write fifo using normal commands.
  - "tail -f" will monitor a file (or fifo) over time



## **Multiple Producers**

- Multiple producers problem:
  - What if >1 producers and 1 consumer
  - Producers are performing write(...)
  - Consumer is performing (blocking) read(...)
  - What if consumer is blocked, but other IPC channels have data?
- Would like to be notified if one channel is ready

#### Select

#include <sys/select.h>

int select(int nfds, fd\_set \*readfds, fd\_set \*writefds,

fd\_set \*exceptfds, struct timeval \*timeout);

- nfds = number of file descriptors to monitor
- readfds, writefds, exceptfds are bit vectors of file descriptors to check
- timeout is a maximum time to wait
- Macros are available to work with bit sets:
  - FD\_ZERO(&fds), FD\_SET(n, &fds), FD\_CLEAR(n, &fds)
  - int FD\_ISSET(n, &fds); //useful after select() returns

## Poll

#include <poll.h>

int poll(struct pollfd \*fds, nfds\_t nfds, int timeout);

- nfds = number of file descriptors to monitor
- fds is an array of descriptor structures
  - File descriptors, desired events, returned events
- timeout is a maximum time to wait
- Returns number of descriptors with events