Design of plasmon cavities for solid-state cavity quantum electrodynamics applications

Yiyang Gong and Jelena Vučković
Department of Electrical Engineering, Stanford University, Stanford, California 94305

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Research on photonic cavities with low mode volume and high quality factor garners much attention because of applications ranging from optoelectronics to cavity quantum electrodynamics (QED). The authors propose a cavity based on surface plasmon modes confined by metallic distributed Bragg reflectors. They analyze the structure with finite difference time domain simulations and obtain modes with quality factor of 1000 (including losses from metals at low temperatures), reduced mode volume relative to photonic crystal cavities, Purcell enhancements of hundreds, and even the capability of enabling cavity QED strong coupling. © 2007 American Institute of Physics [DOI: 10.1063/1.2431450]

The modification of the spontaneous emission (SE) rate of emitters has been a pressing topic in recent research. By enhancing or suppressing emission, we could increase the efficiencies of photon sources, reduce laser threshold, and tailor sources for cavity quantum electrodynamics (QED) applications such as quantum computation and quantum communications. Some researchers have demonstrated the enhancement of emission rates (Purcell effect) in solid state by modifying the local density of optical states with photonic crystal (PC) cavities. However, such works face limitations in the mode volume of the cavity. One implementation that could produce smaller mode volumes, and hence higher Purcell factors, is the use of surface plasmon (SP) modes. Already, there have been reports of enhancement of photoluminescence by coupling emitters to regions of high SP density of states (DOS). Moreover, another group has proposed coupling emitters to metallic nanowires and nanotips to enhance Purcell factors. By employing SP cavities in solid state, we could attempt to achieve the same or even higher SE rate enhancement as with previous designs, but with simplified fabrication.

Several authors have demonstrated modified transmission by using periodic structures to manipulate SPs. These experiments confirm the existence of backscattering and a plasmonic band gap in metallic gratings. In addition, other groups have demonstrated that surface plasmons interfere as normal waves and set up standing waves under certain conditions. Given such properties, it is easy to conceive a cavity that is the marriage of the previous two devices, a cavity that contains the electromagnetic field of the plasmon mode with metallic distributed Bragg reflector (DBR) gratings on either side of the cavity. While some plasmonic DBR cavities have been proposed in previous works, the designs are often impractical to fabricate.

In this letter, we propose such a metallic grating cavity. The structure is shown in Fig. 1(a) and is composed of gratings with thin slices of metals on either side of an uninterrupted surface, which forms the cavity. Such a grating will open a plasmonic band gap at a frequency to be determined by the grating periodicity (a). The periodicity of the grating that opens a plasmonic band gap at frequency ω may be determined from the dispersion relationship of SPs at a metal-dielectric interface:

\[ k_{SP} = \frac{\pi}{a} = \frac{\omega}{c} \sqrt{\frac{\varepsilon_d \varepsilon_m}{\varepsilon_d + \varepsilon_m}} \]  

In this work, we assume that the dielectric is GaAs, with permittivity \( \varepsilon_d = \varepsilon_{GaAs} = 12.25 \), and the metal is silver, with permittivity estimated from the Drude model as \( \varepsilon_m = \varepsilon_c - \frac{\omega_p^2}{\omega(\omega + i \Gamma)} \), with \( \varepsilon_c = 1 \) and the plasmon energy of silver as \( \hbar \omega_p = 8.8 \text{ eV} \) (\( \lambda_p = 140 \text{ nm} \)). Setting an operation energy of \( \hbar \omega = 1.2 \text{ eV} \), we determined the grating periodicity to be \( a = 116 \text{ nm} \). Although the metal is only 30 nm thick, coupled modes between the air-metal interface and GaAs-metal interfaces have a negligible impact on the dispersion relation.

Two-dimensional (2D) finite difference time domain simulations with discretization of one unit cell per 2 nm were conducted with five periods of the DBR gratings on either side of a cavity and using the Drude model for the metal. The depth of grooves in GaAs (filled with metal) and the metal slab layer thickness were both set at 30 nm while the groove width was set at 20 nm. Here, losses were also included in the Drude model with the damping energy of \( \hbar \eta = 2.5 \times 10^{-5} \text{ eV} \) to simulate low temperature conditions relevant for solid-state cavity QED experiments. This damping factor is equivalent to decreasing the nonradiative losses by approximately a factor of 2000 from their room temperature values (\( \eta = \eta_R / \xi \)), where \( \xi \) will be called the loss factor.

The cavity length was then varied over multiple grating periods to determine its effect on the modes. Three prospective modes with their electric field profiles are shown in Fig. 1, and the influence of cavity length on the mode quality factor (Q) and frequency is shown in Fig. 2. The Q factors were calculated using \( Q_{tr,rr} = \omega_0 U / P_{tr,rr} \), where \( \omega_0 \) is the frequency of the mode, \( U \) is the electromagnetic energy of the mode, and \( P_{tr,rr} \) is the power lost from the mode via radiative or nonradiative channels. First, we see that indeed the modes display standing wave patterns inside the cavities. Moreover, the peak quality factors of the modes are separated by grating periods, again supporting the idea that a standing wave is formed by the reflectors on either side of the cavity. The peak quality factor is approximately 1000, and losses are dominated by radiation through the dielectric. The peaks of the quality factor all occur around \( \omega = 0.153 \omega_p \), suggesting a
band gap around that frequency. It is also noteworthy that the radiation parallel to the metal-dielectric interface is not the dominant pathway for losses, suggesting that increasing the number of DBRs would not enhance the overall $Q$ or drastically change the profile of the modes. Preliminary investigations have also shown that the groove heights affect primarily the $Q$ factors in the lateral direction, so the groove height also do not affect the overall $Q$. On the other hand, the duty cycle of the grating affects the SP band gap in a nonlinear manner and thus affects the overall $Q$ factors significantly. Finally, we see that the plasmonic modes of the DBR cavity exhibit a donor tendency, as the modes decrease in frequency as the cavity length increases.

Shown in Fig. 3(a) is the calculated Purcell enhancement of a quantum emitter [such as an InAs/GaAs quantum dot (QD)] per cavity width $Y$ (in micrometers) in the $y$ direction normal to the plane of the 2D simulation (see Fig. 1). The Purcell enhancement, assuming negligible spectral detuning and nonradiative emitter decay ($\Gamma_{nr}$), is

$$F = \frac{\Gamma_0 + \Gamma_0 + \Gamma_{pl}}{\Gamma_0 + \Gamma_{nr}} = 1 + \frac{\Gamma_{pl}}{\Gamma_0} = 1 + \frac{3\lambda^3 Q}{4\pi n^3 V} \frac{E}{E_{max}}^2,$$

where $\Gamma_0$ is the emitter spontaneous emission rate in bulk, $\Gamma_{pl}$ is the emission rate of the QD coupled to the plasmon mode, $Q$ is the quality factor, and $V$ is mode volume defined for 2D simulations as

$$V_{mode} = \int \int \epsilon_E(x,z)|E(x,z)|^2 dxdz / \max[\epsilon_E(x,z)|E(x,z)|^2] Y.$$

Here, $Y$ is the width of the cavity and the effective dielectric constant is

$$\epsilon_E(x,z) = \begin{cases} \epsilon_{metal} + \left( \frac{\omega_n}{\omega} \right)^2 & \text{metal} \\ \epsilon_{Air} & \text{nonmetal} \end{cases}.$$

The curves pictured in Fig. 3(a) are simulated for various depths of a quantum emitter relative to the metal-dielectric interface. The larger of the cavities seem to have large tolerances of cavity lengths that could lead to the high Purcell factors. As shown in part (b) of the figure, the electric field amplitude decays exponentially away from the metal-dielectric interface, as expected for SP modes. Moreover, the decay profiles of all three modes are very similar, and the decay constants of $|E|^2$ of 36 nm are consistent with the plasmon modes in the band gap at the $k_{sp} = \pi/a$ point. This again shows that a decay also creates a mode.
Fig. 4. (Color online) (a) Dependence of Purcell enhancement on the loss factor $\xi$ in the Drude model ($\xi$ is inversely proportional to the damping frequency). The Purcell enhancements are calculated for emitters 20 nm from the metal-dielectric interface for three different cavity lengths. (b) Dependence of the Purcell enhancement on the temperature, which is obtained by translating the $\xi$ factor into temperatures for a residual resistivity of 1467 for silver (Ref. 13).

area of approximately (50 nm)$^2$ for 2D simulations, much smaller than the $(\lambda/n)^2$ area achieved for photonic crystal cavities. If we were able to contain the field in the $y$ direction to 50 nm as well, we could in theory achieve the strong coupling regime. Namely, for an InAs/GaAs quantum dot with a dipole moment of $\mu = 10^{-28}$ C m,11 positioned 20 nm from the metal-dielectric interface in the tail of $E$-field anti-node and resonant with the field, the emitter-cavity field coupling is

$$g = \mu \sqrt{\frac{\omega}{2\epsilon_0 V_{\text{mode}}}} \frac{E}{E_{\text{max}}} = 2\pi \times 170 \text{ GHz}. \quad (5)$$

Note that because $E_{\text{max}}$ is not located in the middle of the cavity but at corners of the cavities, the coupling factor is degraded from maximum values. For comparison, $\gamma$ (the emitter decay rate without a cavity, dominated by radiative decay $\Gamma_0$) and $\kappa = \omega/(2Q)$ (the cavity field decay rate) are $2\pi \times 1$ GHz and $2\pi \times 160$ GHz, respectively. For such a set of parameters, $g > \gamma, \kappa$, and the onset of the strong coupling regime is reached. While degradation of $Q$ may result from fabrication imperfections, even a tenfold drop in $Q$ may still result in Purcell enhancements of hundreds, enabling such a device to be used in quantum information applications.12

Another interesting property of the cavity is the effective range for high Purcell effect. As shown in Fig. 3(c), the electrical field amplitude follows a standing wave profile of an even mode in the $x$ direction and exponentially decays in the $z$ direction. While the $E_z$ field dominates for this mode, the contribution of the $E_x$ field increases as we approach the surface, making the electric field amplitude near the surface significant throughout the cavity. This effect may allow for easier coupling to quantum dots embedded throughout the substrate.

In plasmonics, one great concern is the losses of the metal at optical frequencies. However, after locating the modes with peak Purcell factors, we can increase losses by a factor of 80 and still preserve enhancement, as shown in Fig. 4. Only at those lowest gains in conductivity do the radiative quality factor ($Q_{\text{rad}}$) and mode volume change (by 1% at $\xi = 25$). In the same manner, the Purcell enhancement change is dominated by the linear decrease in the absorptive $Q$ factor ($Q_{\text{abs}}$) with loss and only drops by a factor of 2 from the low loss ($\xi = 2000$) case at $\xi = 50$. This is seen if the total $Q$ of the system is described as the parallel combination of $Q_{\text{rad}}$ and $Q_{\text{abs}}$:

$$\frac{1}{Q} = \frac{1}{Q_{\text{rad}}} + \frac{1}{Q_{\text{abs}}}. \quad (6)$$

For loss factors greater than 100, $Q_{\text{abs}}$ is far greater than $Q_{\text{rad}}$ and is thus negligible, and the mode is not significantly perturbed. However, for loss factors less than 25, the mode is changed, resulting in a diminished $Q$ and negligible Purcell enhancement. This suggests that the sample temperature should be reduced to at least 40 K [where $\xi = 25$ (Ref. 13)] in order for the radiative losses to be dominant [Fig. 4(b)]. Such operational temperatures are already being used for solid-state cavity QED experiments.1

In conclusion, we have proposed and studied a plasmon cavity that could enable high quality factor ($Q = 1000$), large Purcell enhancements ($F = 100$), and even the strong coupling regime of cavity QED. Such devices benefit from the small mode volumes inherent with SP modes. We have also demonstrated that such a cavity indeed arises from the band gap effect of plasmonic gratings and that losses due to the metal do not seriously affect the operation of the device. Numerous optoelectronic and quantum optical devices could benefit from the proposed cavity design; the plasmonic cavity could enhance the out-coupling efficiency of QDs embedded in the dielectric, improving the operation of single photon emitters. Future designs would focus on containing the electromagnetic field in the third dimension, so as to fully realize the small mode volumes of the SP modes.

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