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A Neuronal activity-Dependent Dual Function chromatin-Modifying Complex Regulates *Arc* Expression

PHF8-TIP60 Regulates Arc Transcription

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A neuronal activity-dependent dual function chromatinmodifying complex regulates *Arc* expression

46 Abstract

47 Chromatin modification is an important epigenetic mechanism underlying neuroplasticity. Histone methylation and 48 acetylation have both been shown to modulate gene expression, but the machinery responsible for mediating these 49 changes in neurons has remained elusive. Here we identify a chromatin-modifying complex containing the histone 50 demethylase PHF8 and the acetyltransferase TIP60 as a key regulator of the activity-induced expression of Arc, an 51 important mediator of synaptic plasticity. Clinically, mutations in PHF8 cause X-linked mental retardation while TIP60 has 52 been implicated in the pathogenesis of Alzheimer's disease. Within minutes of increased synaptic activity, this dual function complex is rapidly recruited to the Arc promoter where it specifically counteracts the transcriptionally 53 54 repressive histone mark H3K9me2 to facilitate the formation of the transcriptionally permissive H3K9acS10P, thereby favoring transcriptional activation. Consequently, gain-of-function of the PHF8-TIP60 complex in primary rat 55 56 hippocampal neurons has a positive effect on early activity-induced Arc gene expression, whereas interfering with the 57 function of this complex abrogates it. A global proteomics screen revealed that the majority of common interactors of 58 PHF8 and TIP60 were involved in mRNA processing, including PSF, an important molecule involved in neuronal gene 59 regulation. Finally, we proceeded to show using super-resolution microscopy that PHF8 and TIP60 interact at the single 60 molecule level with PSF, thereby situating this chromatin modifying complex at the crossroads of transcriptional 61 activation. These findings point toward a mechanism by which an epigenetic pathway can regulate neuronal activitydependent gene transcription, which has implications in the development of novel therapeutics for disorders of learning 62 63 and memory.

64 Significance Statement

The regulation of neuronal gene expression requires dynamic changes in chromatin structure as evidenced by the fact that dysregulation of the enzymes responsible for chromatin modification often leads to intellectual disability. In this article we characterize a chromatin-modifying complex containing the X-linked mental retardation-associated protein PHF8 and the Alzheimer's disease-associated protein TIP60 which regulates the expression of an important neuronal activity-dependent gene, *Arc*. By interfering with the enzymatic function of this complex, we show that it is possible to alter the ability of neurons to induce transcription in response to synaptic activity. This work supports an enzymatic mechanism for the epigenetic control of neuronal transcriptional programs with implications in the possible development of novel therapeutics for disorders of learning and memory.

73 Introduction

74 Activity-dependent transcription of effector genes, a pre-requisite for memory formation (Tzingounis and Nicoll, 2006), 75 is a highly complex process (Inoue et al., 2010, West and Greenberg, 2011). Several epigenetic mechanisms have been 76 put forth to explain the remarkable ability of neurons to dynamically regulate gene expression, including chromatin 77 modification, which is capable of altering gene expression programs and even induce alternative splicing (Day and 78 Sweatt, 2011). The importance of chromatin modification in learning and memory is demonstrated by the fact that 79 dysfunction of chromatin-modifying enzymes causes severe memory impairment, which ranges from Alzheimer's disease to intellectual disability (Lewis et al., 1981, Lubin et al., 2011, Ronan et al., 2013). Recent evidence has shown 80 81 that the rapid induction of immediate-early genes (IEGs) such as Arc, in response to neuronal activity is mediated by a 82 mechanism involving the escape of promoter-proximal RNA Polymerase II into transcriptional elongation (Kim et al., 83 2010, Saha et al., 2011). The idea that stimulus-dependent rapid gene induction is controlled at the level of 84 transcriptional elongation and mRNA processing is conserved across many cell types and is likely to be mediated by 85 modification to chromatin structure (Hargreaves et al., 2009). Both the acetylation and methylation of histones have 86 been purported to be important in activity-dependent gene transcription (Gupta-Agarwal et al., 2014, Lopez-Atalaya and 87 Barco, 2014, Sen, 2014). Nevertheless, although it is known that enzymes are likely responsible for the chromatin 88 modifications that contribute to neuronal gene activation, the nature of these epigenetic regulators is still obscure.

Here we report that the histone demethylase PHF8 cooperates with the acetyltransferase TIP60 in an activity-dependent manner to enable the rapid induction of the immediate-early gene *Arc* by specifically regulating H3K9acS10P, a dualchromatin mark that is required for transcriptional activation. As no direct interaction between a demethylase and an

92 acetyltransferase has yet been reported, we focused on precisely characterizing the localization of PHF8 and TIP60 using 93 multi-colour super-resolution microscopy and investigated their physical interaction using co-immunoprecipitation and 94 proximity in-situ ligation. Within minutes of neural network activation, we found that the complex containing PHF8 and 95 TIP60 specifically upregulated the transcriptional-elongation associated mark H3K9acS10P, which is required for rapid 96 gene induction through a mechanism that likely involves transcriptional elongation. Upon verifying that this complex is 97 able to regulate the methylation and acetylation of transcriptionally active H3K4me3-positive histones, we examined the 98 PHF8-TIP60 interactome through immunoprecipitation followed by mass spectrometry, which revealed that the majority 99 of PHF8 and TIP60 interacting partners are indeed involved in transcription and RNA processing. Overexpression of PHF8, 100 but not the inactive mutant PHF8-F279S (Koivisto et al., 2007), increased neuronal H3K9acS10P and Arc expression, 101 whereas RNAi-mediated knockdown of PHF8 inhibited both activity-induced H3K9acS10P and Arc. Furthermore, both 102 PHF8 and TIP60 were found to be recruited to the Arc promoter within minutes of neuronal activation. Finally, using 103 single-molecule imaging techniques, we demonstrate that the two chromatin-modifying enzymes have a well-defined 3-104 dimentional spatial relationship with each other, with each molecule occupying long-stranded structures which are 105 closely associated with their common binding partner, PTB-associated Splicing Factor (PSF), at the nucleosomal scale. 106 The direct interaction between the chromatin modifier PHF8 with PSF, a long term memory-associated splicing factor 107 (Antunes-Martins et al., 2007, Kim et al., 2011) lends further evidence to the role of chromatin modification in 108 transcriptional activation and co-transcriptional splicing in neuronal activity-dependent gene regulation.

109

110 Methods

111 Constructs and cloning

112 Full-length PHF8 was cloned through reverse transcriptase reaction of human brain cDNA (Marathon), and confirmed via Sanger 113 sequencing against a construct of FLAG-PHF8 which was a generous gift from Petra de Graaf (Fortschegger et al., 2010). Fusion 114 fluorescent constructs PHF8-mTurquoise2, PHF8-YFP, PHF8-tdTomato, were cloned by inserting the full-length PHF8 PCR product 115 flanked by Sall and Agel sites in-frame into the multiple cloning sites of the respective vectors. To generate PHF8-FLAG and TIP60-116 FLAG, the YFP sequence was excised with Notl and an oligonucleotide encoding the FLAG peptide sequence was annealed and 117 ligated to the C-terminus of PHF8 and TIP60. Mutagenesis of PHF8 into PHF8-F279S was performed using the megaprimer 118 method(Bloomer et al., 2007) by first performing a PCR with a reverse primer containing the single nucleotide mutation (c.836C>T) 119 to generate a forward primer for a second PCR reaction amplifying the full-length gene.

120 Neuronal Cell Culture

121 Hippocampi and cortices from E18 Sprague-Dawley rats of either sex were dissected aseptically, digested using a papain dissociation 122 system (Worthington Biochemical Corporation), and cultured in media supplemented with B27 (Brewer and Price, 1996). The 123 appropriate density of neurons were plated on poly-D-lysine-coated glass-bottom culture dishes (MatTek, Ashland, MA), 8-well Lab-124 Tek II chambered cover-glass (Nunc, Denmark), or 96-well glass-bottom plates (Nunc, Denmark) that had been double-coated with 125 poly-D-lysine overnight. Neurons were fed weekly by replacing half of the medium. HEK293, HeLa, and U2OS cells were obtained 126 from the author's University Cell Culture Facility, and were cultured in high glucose DMEM (Gibco) with 10% fetal bovine serum 127 (Invitrogen Corporation, Carlsbad, CA) and 1% Penicillin-Streptomycin. Cells were plated on the poly-D-lysine coated glass-bottom 128 dishes for imaging or the Lab-Tek II chambered cover-glasses for super-resolution imaging.

129 Transfections and Neuronal Stimulations

Primary neurons were transfected between DIV 12 and 21 as previously described, with a few modifications (Van de Ven et al., 2005). Briefly, Lipofectamine:DNA complexes were formed in a suitable amount of Neuronal Transfection Media (BrainBits, UK) for 132 15 minutes at room temperature (RT). Neuronal growth medium was aspirated and the complexes were added to the neurons for 15 133 minutes, after which the neuronal medium was restored. HEK293, U2OS, and HeLa cells were transfected similarly, except that 134 DMEM with high glucose media was used and the Lipofectamine 2000/ DNA mixture was added directly to existing media. Each well 135 in a six-well plate was transfected with a 2:1 ratio of transfection reagent to plasmid DNA. To stimulate synaptic NMDA receptors 136 and network activity (Hardingham et al., 2001), a combination of 4-Aminopyridine (4AP), Bicuculline (Bic), and Forskolin at a final concentration of 100μM, 50μM, and 50 μM respectively were added to the medium for the appropriate amount of time. In control
 wells, the same volume of vehicle (DMSO) was added to neurons before lysates were collected.

139 Immunofluorescence

140 For construct co-expression experiments, transfected neuron/HEK293 cells were fixed with a solution containing 4% 141 paraformaldehyde (PFA), 4% sucrose, and 1× PBS for 15 min at 4 °C. The cells were subsequently incubated with 1µM DAPI for 10 142 minutes, and preserved in 97% Thiodiethanol (TDE, Sigma). For immunostaining, cells were fixed with 100% MeOH at -20°C for 10 143 min. Neurons/HEK293 cells were blocked with a solution containing 10% goat or donkey serum, 2% bovine serum albumin (BSA), and 144 1× PBS for 1 hour at room temperature (RT), except when the goat-anti-TIP60 (K-17, Santa Cruz) antibody was used, in which case 145 blocking was done with 10% Horse Serum in PBS-0.1% Triton X. The primary antibodies were incubated for 1 hour at RT in a dilution 146 buffer containing 1:1 block solution and PBS-Triton X solution at the following dilutions: Mouse-anti-Arc (C7) 1:300 (Santa Cruz), 147 Goat-anti-TIP60 (K17) 1:300, Rabbit-anti-TIP60 1:300 (Novus Biologicals), Rabbit-anti-H3K9acS10P 1:300 (Abcam). Dishes were 148 washed 5 x 10 minutes with PBS-Triton X and incubated with Alexa-Fluor488, Alexa-Fluor568, or Alexa-Fluor647 conjugated 149 secondary antibodies (Molecular Probes-Invitrogen) 1:1000 in dilution buffer for 1 hour at RT. Washing was repeated as per the 150 above for 5 x 10 min and dishes were then stained with 1 µM DAPI for 10 minutes to label DNA, followed by mounting in 10%, 25%, 151 50%, and finally 97% Thiodiethanol (TDE).

152 Proximity Ligation In-Situ Assay

153 Stably transfected HEK293 cells expressing PHF8-YFP were fixed with 4% PFA for 15 min at 4 °C, and blocked and permeabilized using 154 a solution containing 10% donkey serum and 0.5% Tween-20 at 37 °C. Cells were incubated overnight with primary antibodies: 155 Mouse-anti-GFP (1:1000, Roche) and Goat-anti-TIP60 (1:300, Santa Cruz) were used to detect PHF8 and TIP60, respectively. Cells 156 were washed five times with Buffer A (10 mM Tris, 150 mM NaCl, and 0.05% Tween-20), and then incubated for 2 hours with 157 secondary antibodies conjugated to PLA probes: Duolink II anti-Mouse plus and Duolink II anti-Goat minus were diluted in antibody 158 diluent to a concentration of 1:5 (OLink Bioscience) at 37 °C. After five more washes with buffer A at room temperature, 159 hybridization was performed by incubating at 37 °C with the ligation solution (Duolink II Ligase, 1:40) for exactly 30 minutes. Ligation 160 was stopped by a wash step and detection of the amplified probe was done with the Duolink II Detection Reagents Kit (Red). After a 161 final wash step of 15 minutes x 5 in buffer B (200 mM Tris and 100 mM NaCl), cells were mounted and imaged. Negative controls 162 were obtained by transfecting the mutant PHF8 (F279S) and by repeating the procedure with no primary antibodies.

163 Widefield Imaging, Calcium imaging, and data analysis

164 Fluorescence images were obtained using a motorized inverted wide-field epifluorescence microscope (Nikon Eclipse Ti-E), using 40x 165 and 60x Plan-Apo oil objectives, with numerical apertures of 1.35 and 1.49 respectively. Motorized excitation and emission filter 166 wheels (Ludl electronics, Hawthorne, NY) fitted with a DAPI/CFP/YFP/DsRed quad filter set (#86010, Chroma, Rockingham, VT) were 167 used together with filter cubes for DAPI, CFP, YFP and TxRed (Chroma) to select specific fluorescence signals. Z-stacks were obtained 168 spanning the entire nucleus and out-of-focus fluorescence was removed using the AutoQuant deconvolution algorithm (Media 169 Cybernetics). Calcium imaging was done either through a cell-permeable Ca²⁺-sensitive dye (Fluo-4 AM, Invitrogen) or the 170 transfection of a genetically encoded Ca²⁺ sensor (gCamp6, medium isoform). Images were obtained in time series of 100ms/frame, 171 and quantification was performed through the Time Measurement feature of NIS Elements. For all purposes, Images were digitized 172 using a cooled EM-CCD camera (iXon EM+ 885, Andor, Belfast, Northern Ireland). Image acquisition was performed using NIS 173 Elements AR 4.2 software (Nikon). NIS Elements Binary and ROI Analysis tools were used to segment nuclei based on DAPI signal 174 intensity.

175 Co-Immunoprecipitation and Western blotting

176 Transfected HEK293 cells growing in 6-well plates were allowed to express overnight at 37 °C to yield >90% transfection efficiency. 177 Throughout the entire procedure, cultures and subsequent lysates were kept on ice or at 4 °C. For co-immunoprecipitation, the 178 cultures were washed once with 1 ml of PBS, and lysed in 500 µl of lysis buffer for 30 min, then scraped into 1.5 ml tubes. Lysis 179 buffer consisted of 5 mM HEPES pH 7.2, 0.5% NP40, 250 mM NaCl, 2 mM EDTA, 10% glycerol, 1:100 dilution of protease inhibitor 180 cocktail (Sigma-Aldrich). The lysates were spun down for 20 min at 16,000×g to pellet cell debris. 500ul of the supernatant was then 181 incubated on a rotator with 5 µl mouse-anti GFP(Ruiz et al.) for 90 min, followed by 100 µl of Protein-A/G Plus-Agarose (Santa Cruz 182 Biotechnology) for another 60 min on a rotator. The beads were spun down at 1000×g for 5 min and the supernatant was removed. 183 IP fractions were then washed and re-suspended in 1 ml lysis buffer for a total of 3 times. The beads and input lysates were 184 resuspended and boiled at 95 °C for 5 min in sample buffer, resolved by SDS-PAGE with Tris-glycine gels (Bio-Rad), transferred to 185 0.2um PVDF membranes (Invitrogen), and imunoblotted. The primary antibodies used were anti-GFP (mouse-monoclonal; Roche), 186 anti-FLAG (mouse-monoclonal; Sigma).

187 Immunoprecipitation and Mass Spectrometry

188 Immunoprecipitation was performed as above, with a pre-clearing step using 5ug of purified rabbit IgG / IP. Following the final wash 189 step, beads were resuspended and heated to 65°C for 10 min and run on 4-20% gradient Tris-glycine gels (Bio-Rad) for 3 hours at 190 constant 80V. Gels were stained for one hour with the GelCode Blue Stain(Ma et al.), and washed extensively with ddH2O overnight.

Using a sterile scalpel, bands that were represented in the PHF8/TIP60 IP fraction but not in the control YFP IP fraction were excised and kept in clean 1.5ml tubes, spun down at 1000×g for 5 min. Following reduction and alkylation, interacting proteins were trypsinized overnight at 37°C. Peptides were dried and resuspended in mass-spectrometry compatible buffer, and analyzed with one-dimensional nanoLC-MS/MS (Dionex UltiMate 3000 nanoLC system coupled with AB Sciex TripleTOF 5600 system) for protein identification. The IPI human protein database (version 3.77) was searched using ProteinPilot (version 4.5, AB Sciex) and the identified hits were analyzed using DAVID (<u>http://david.abcc.ncifcrf.gov</u>) for gene ontology annotation.

Chromatin-immunoprecipitation and Triton X-Acetic Acid-Urea histone electrophoresis

199 Neurons were treated with chemLTP as above; HEK293 cells growing in 6-well plates were transfected with PHF8, TIP60 or both, and 200 allowed to express overnight at 37 °C to yield >90% transfection efficiency. DNA-protein crosslinking was done in-situ, by adding 37% 201 Formaldehyde to growth medium to a final concentration of 1%, for 8 minutes at RT, after which the unreactive formaldehyde was 202 quenched with 1.25M Glycine, and then washed 3x with PBS and collected. 1 million cells were lysed in 100µl of ChIP lysis buffer 203 (1%SDS with a 1:100 dilution of protease inhibitor cocktail; Sigma-Aldrich). 10uL of unsheared input chromatin was collected, and 204 the remaining lysates were spun down for 10 min at 10,000×g, and then sonicated for 8 cycles using the Bioruptor 2000 (Diagenode) 205 at HIGH setting. 10 µl of unsheared and sheared chromatin were loaded on a 1% agarose gel to visualize shearing efficiency. The 206 sheared chromatin was then diluted with ChIP dilution buffer (0.01%SDS, 1.1% Triton X, 1.2mM EDTA, 20mM Tris-HCl pH 8.0, 207 150mM NaCl), and DNA was quantified using a NanoDrop. 50ug of chromatin was incubated with 3 µl of the primary antibody 208 rabbit-antiH3K4me3 (ActiveMotif) for 3 hours, followed by addition of 50µl of Protein-A/G Plus-Agarose beads (Santa Cruz 209 Biotechnology) which is incubated for 60 min on a rotator. The beads were spun down at 1000×g for 5 min and the unbound fraction 210 removed. IP fractions were then washed and re-suspended in three consecutive washes using the Low-Salt ChIP Wash Buffer, High-211 Salt ChIP Wash Buffer, and LiCl ChIP Wash Buffer (Millipore) followed by 2 final washes in TE Buffer. Immunoprecipitated chromatin 212 was eluted, cross-links were reversed, and equal amounts of protein were loaded onto SDS-PAGE gels or Triton X-Acetic Acid-Urea 213 (TAU) gels which were made fresh on the day of the experiment following published protocols (Shechter et al., 2007). Alternatively 214 for ChIP-RT-PCR analyses, immunoprecipitated chromatin was eluted, reverse-crosslinked, and treated with RNAse A for 1 hour at 215 37°C and Proteinase K for 8 hours at 62°C. DNA was purified using a spin column (Qiagen), and eluted into 30uL volumes, out of 216 which 2uL was used in qRT-PCR using primers against the Transcriptional Start Site (TSS) of the rat Arc, BDNF, Synaptophysin, and Fos 217 genes.

218 3D Structured Illumination Microscopy (3D-SIM)

219 Neurons were treated and then fixed at the appropriate timepoints. Autofluorescence was reduced with Sodium borohydride (0.1% 220 NaBH₄) for 5 minutes. Immunostaining was performed as described above, with a final wash step following secondary antibody 221 incubation to transition the neurons into a Thiodiethanol (TDE) mounting medium. Serial dilutions of 10%, 25%, 50% and finally 97% 222 TDE, with a final refractive index of 1.512, which directly matches that of glass, was used to mount the samples. A Zeiss Elyra PS-1 223 super-resolution system (Zeiss) equipped with 405, 488, 561, and 642 nm lasers (50mW, 200mW, 200mW, 150mW respectively) for 224 excitation was used to acquire 3D-SIM images. A Zeiss 63X Plan-Apochromat (N.A=1.4) oil immersion objective lens was used with a 225 cooled EM-CCD camera (iXon EM+ 885, Andor, Belfast, Northern Ireland) camera to capture a 1 megapixel field of view. 15 images 226 per section per channel were acquired (made up of 3 rotations and 5 phase movements of the diffraction grating) at a z-spacing of 227 0.125µm. Structured illumination reconstruction and alignment was completed using the Zen software (Zeiss).

228 3D Stochastic Optical Reconstruction Microscopy (3D-STORM)

229 Neurons cultured on LabTek II chambered coverglass (thickness #1.5) were stimulated appropriately and fixed with 3% 230 paraformaldehyde for 8 minutes, and auto-fluorescence was quenched with 0.1% NaBH₄ for 5 minutes. After a blocking step, 231 immunostaining was performed as described above, with antibody concentrations titrated to the appropriate dilution. After 232 secondary antibody incubation, antibody-antigen complexes were post-fixed with 3% paraformaldehyde, 0.1% glutaraldehyde for 5 233 minutes, and then washed for a total of 10 times in PBS. STORM imaging buffer containing Cysteamine (Sigma) titrated with HCl to 234 pH 7.4 and a cocktail of Glucose-Oxidase and Catalase solution was added to aliquots of 10% glucose in PBS. 3D-STORM imaging was 235 done on a Nikon Ti microscope equipped with a 100x Apo-TIRF oil immersion objective and an Andor iXon3 EM-CCD camera with the 236 gain set at 200 mHz. A Coherent 488nm, Sapphire 561nm laser line operating at 150mW (Coherent) and a 647nm line with 180mW 237 output (Obis) were used to push the Atto-488, Alexa-568, and Alexa-647 fluorophores to the triplet state, in the presence of 238 Cysteamine HCl, Glucose Oxidase, and Catalase, in a closed environmental chamber to prevent entry of molecular oxygen. 239 Timelapses were acquired at 50 fps, for a total of at least 15000 periods. Molecule list thresholding and 3D rendering were 240 performed on the NIS Elements software with the STORM module installed (Nikon).

241

242 **Results**

243 The histone demethylase PHF8 colocalizes with the histone acetyltransferase TIP60 in the interchromatin space

244 In order to gain insight into their function, we first wanted to observe where the putative transcriptional coactivators reside in the neuronal nucleus. Endogenous and overexpressed PHF8 and TIP60 fused to spectrally non-overlapping 245 246 fluorescent proteins eCFP, eYFP, mCherry, and tdTomato were imaged in primary hippocampal and cortical neurons. In 247 all cases, PHF8 and TIP60 both localized to the nucleus, as expected (Figure 1A-F). Importantly, however, both PHF8 and 248 TIP60 formed hundreds of tiny punctate structures of similar calibre which localized to specific regions in the nucleus 249 that are devoid of DAPI staining, indicative of areas known as the interchromatin space, where many nuclear processes 250 are thought to occur (Politz et al., 1999, Tycon et al., 2014). Data from widefield microscopy is supported by the higher-251 resolution Structured Illumination Microscopy which shows that PHF8 and TIP60 localize to the interchromatin space, 252 which are proposed locations of transcription factories (Eskiw et al., 2008). Indeed, immunostaining of the Ser5-253 phosphorylated C-terminal domain of RNA Polymerase II show that this interface is rich with transcriptional initiation-254 specific structures in primary neuronal nuclei (Figure 1C). When expressed together in the same nucleus, we found that 255 PHF8 tightly associated with TIP60 (Figure 1D). This observation prompted us to ask whether the overexpression of PHF8 256 protein may cause recruitment of TIP60 to the PHF8 puncta, and vice versa. To this end we transfected neurons either 257 PHF8 or TIP60 singly and immunostained for endogenous TIP60 or PHF8 respectively and found that exogenous TIP60 258 puncta were rich in endogenous PHF8 (Figure 1E). Conversely, PHF8 puncta recruited endogenous TIP60 in hippocampal 259 neurons (Figure 1F). This bi-directional recruitment suggested that PHF8 and TIP60 physically interact.

In order to investigate this protein-protein interaction in greater detail, we used P-LISA (Proximity Ligation In Situ Assay), a technique that detects interactions between molecules that are obligatorily less than 30 nm from each other (Fredriksson et al., 2002). Using an antibody against TIP60 and an antibody against the GFP-epitope of PHF8-YFP, we performed P-LISA on HEK293 cells stably transfected with PHF8-YFP to detect specific PHF8-TIP60 interactions, which formed variably sized spots that localized to the interchromatin space (Figure 1G). Using a small hairpin RNA (shRNA) to knock down the expression of endogenous PHF8, we observed that the number of P-LISA interaction hotspots was significantly reduced in cells expressing the shRNA (Figure 1H-I), suggesting that the interaction detected by proximity 267 ligation was specific. Immunostaining with the PHF8 antibody was performed to confirm that the interaction hotspots
 268 constituted a large majority of endogenous PHF8 puncta (Figure 1J).

269 PHF8 physically associates with TIP60

270 Despite a wealth of evidence suggesting that methylation and acetylation of histones are tightly linked, physical 271 interactions between a histone demethylase and an acetyltransferase have not been well characterized. In light of our 272 findings showing the co-localization of the demethylase PHF8 with the acetyltransferase TIP60 as well as their ability to 273 recruit each other, we used a cellular system to study whether or not the two enzymes physically interact. HEK293 cells were co-transfected to >90% efficiency with TIP60-YFP and PHF8-FLAG, and their nuclear extracts were subjected to 274 275 immunoprecipitation with the anti-GFP antibody to pull down TIP60-YFP. Control cells were transfected with YFP alone. 276 After extensive rounds of washing, PHF8-FLAG remained in the IP fraction (Figure 2A) of cells transfected with TIP60-YFP, 277 but not of cells transfected with YFP alone. Conversely, when PHF8-YFP and TIP60-FLAG were co-transfected and co-278 immunoprecipitation was performed, only cells that contained PHF8-YFP immunoprecipitated TIP60-FLAG, whereas 279 control cells transfected with YFP did not (Figure 2B). In rat cortical neurons, pull-down of endogenous TIP60 with an 280 anti-TIP60 antibody co-immunoprecipitated PHF8, whereas pull-down with anti-GFP did not (Figure 2C). To analyze 281 which domains of TIP60 physically associated with PHF8, we performed co-immunoprecipitation experiments where full length PHF8-FLAG was co-expressed with deletion mutants of TIP60, which included the following TIP60 constructs: A 282 283 (TIP60 chromo domain), B (N-terminus TIP60 including the zinc finger), C (N-terminus TIP60, excluding the Acetyl-CoA 284 binding domain), D (containing the zinc finger and part of its MYST domain), E (C-terminus TIP60 excluding the zinc finger), and F (C-terminus TIP60 excluding the chromo domain). Our results show that PHF8 associates most strongly 285 286 with TIP60 amino acids 99-546 which contains the zinc finger or putative DNA-binding domain and the active 287 acetyltransferase MYST domain (Figure 2D, compare constructs D-F with E). In contrast, the N-terminal chromo domain, 288 which recognizes and binds to the heterochromatin-associated H3K9me3, hindered its association with PHF8 (Figure 2D, compare constructs C with D and F). 289

290 PHF8 and TIP60 form a dual function complex that increases the acetylation of H3K4me3-bearing chromatin

291 The observed physical interaction between these two chromatin modifiers led us to explore whether the PHF8-TIP60 292 complex had any cooperative function in histone modification. We first investigated whether PHF8 and/or TIP60 had any 293 effect on overall histone acetylation. Purified histones from HEK293 nuclear extracts obtained after transfection of PHF8, 294 TIP60, or both were separated on Triton X-acetic acid-urea (TAU) gels. We observed that overexpression of TIP60 295 increases histone acetylation of H3 at lysine 9 (H3K9), but when both PHF8 and TIP60 were overexpressed, the increase 296 in H3K9 acetylation was notably larger (Figure 2F). The same analysis was performed on total cellular histones separated 297 on conventional SDS-PAGE gels, which shows that PHF8 and TIP60 increase total H3K9 acetylation, without affecting the 298 loading control, total H4 (Figure 2G).

299 The interaction between the active chromatin mark H3K4me3 and acetylation of H3K9 and H3K14 has been well 300 documented (Rice and Allis, 2001), but the enzymes that cooperate to cause this cross-talk are not well understood. 301 PHF8 is a reader of the transcriptionally associated mark H3K4me3, by virtue of its PHD finger domain, while it functions 302 to remove the repressive chromatin marks H3K9me2 and H3K9me1 (Kleine-Kohlbrecher et al., 2010, Yu et al., 2010). 303 TIP60 is an acetyltransferase with predicted activity on many histone substrates including H3K9 and H3K14 (Kimura and 304 Horikoshi, 1998). Following our observation that PHF8 associates with the acetyltransferase TIP60, we hypothesized that 305 complete demethylation of H3K9me2 by PHF8 may be conducive to the acetylation of H3K9 and H3K14 in the same 306 histone tail carrying the H3K4me3 mark. In order to test this hypothesis, we used chromatin immunoprecipitation (ChIP) 307 to explore the possible functions of this complex on endogenous nucleosomes. We first validated the ability of our PHF8 308 construct to demethylate its substrate H3K9me2 using ChIP, and saw that while the wild-type enzyme was able to 309 decrease H3K9me2, overexpression of the mutant version of PHF8-F279S, containing a single amino-acid substitution 310 which rendered it enzymatically inactive (Feng et al., 2010), did not result in a decrease in H3K9me2 (Figure 2H). Pull-311 down was performed using an antibody directed against the PHF8-targeted mark H3K4me3, and the levels of acetylation at the H3K9 and H3K14 residues of these H3K4me3-positive histones were assessed by Western analysis. Consistent 312 313 with its role as a histone acetyltransferase, upregulation of TIP60 expression was seen to increase acetylation of H3K9 314 and H3K14, but more importantly, this increase was specific to chromatin that is positive for H3K4me3. Interestingly, 315 PHF8 overexpression by itself is also able to increase H3K9ac and H3K14ac levels. However, when both PHF8 and TIP60

are co-expressed, we observed an associated additive effect in the acetylation of H3 specifically in transcriptionally active H3K4me3-bearing histone tails (Figure 2I-J). Taken together, both total histone gels and ChIP-TAU gels capturing specifically immunoprecipitated H3K4me3 show that there is proportionately higher H3K9 and H3K14 acetylation when both PHF8 and TIP60 are present, which lent further evidence that PHF8 and TIP60 may be acting cooperatively to increase H3 acetylation.

321 PHF8 removes transcriptionally suppressive H3K9me2 and associates with transcriptionally active H3K9ac

322 Previous studies have indicated that PHF8 may be a transcriptional co-activator as it is able to demethylate the 323 transcriptionally repressive histone mark H3K9me2 in various cellular models (Loenarz et al., 2010, Suganuma and 324 Workman, 2010, Zhu et al., 2010). In the brain, the regulation of H3K9me2 has recently been found to be extremely 325 important for learning and memory (Kramer et al., 2011). After confirming that PHF8 demethylates H3K9me2 in a 326 cellular system (Figure 2H), we sought to investigate whether PHF8 is able to reduce H3K9me2 levels in primary neurons. 327 Indeed, PHF8 overexpression was sufficient to down-regulate H3K9me2 in hippocampal neurons, as seen through 328 immunofluorescence (Figure 3, compare A, B, and C). On the other hand, the clinical mutant PHF8-F279S displayed a 329 different subcellular localization and function, localizing to fewer and slightly bigger foci in the nucleus and failing to 330 down-regulate H3K9me2 (Figure 3E). In view of the interaction between PHF8 and the acetyltransferase TIP60, we 331 hypothesized that PHF8 may be regulating acetylation. We therefore screened a number of histone acetylation marks in 332 neurons and found that the PHF8 puncta were seen to associate very closely with the acetylation mark H3K9ac, a transcriptionally permissive mark and known substrate of TIP60 (Kimura and Horikoshi, 1998) (Figure 3D). These findings 333 suggested that the PHF8-TIP60 complex may be responsible for mediating changes at a specific chromatin locus which 334 335 was H3K9, the only residue known to be able to undergo either methylation or acetylation (Zlatanova and Leuba, 2004).

336 PHF8 and TIP60 are activity-dependent and co-regulate chromatin modification in response to neuronal activity

Although neuronal activity is known to induce epigenetic modification at the level of DNA methylation (Martinowich et al., 2003, Ma et al., 2009), the circumstances of activity-dependent chromatin modification is not well established. We attempted to investigate this by combining a chemical Long Term Potentiation (chemLTP) paradigm which stimulates network activity (Hardingham et al., 2001, Arnold et al., 2005) with a high-content imaging assay in 96-well format to

341 examine global activity-dependent changes in histone methylation and acetylation as a function of time. We performed 342 a detailed time course of neuronal activation at 5, 10, 20, 40, 80 minute intervals and beyond, and found that as early as 343 40 minutes after increasing synaptic activity, as visualized by the genetically-encoded calcium sensor GCaMP6 (Figure 344 4B), expression of the activity-regulated gene Arc was induced (Figure 4A). Activated neurons that successfully induce 345 ARC protein had significantly higher nuclear levels of PHF8 and TIP60 (Figure 4C and 4F, p-value < 0.0001), suggesting 346 that both chromatin modifying enzymes are activity-dependent. In fact, a detailed time-course analysis of PHF8 and 347 TIP60 nuclear levels in neurons that have undergone chemLTP treatment show increases in PHF8 nuclear levels which is 348 precisely mirrored by increases in TIP60 nuclear levels within 5 minutes of synaptic activity induction (Figure 4A,C, and F). 349 In parallel with the increases in the nuclear levels of both PHF8 and TIP60, we found that neuronal networks activated 350 with the chemical LTP paradigm showed a robust increase in the phosphoacetylation of H3K9acS10P which was mirrored 351 by a down-regulation of the PHF8 substrate and transcriptionally repressive mark H3K9me2 (Figure 4D), corroborating 352 the data obtained through single-cell imaging (Figure 5) and other studies of hippocampus-dependent memory 353 formation (Chwang et al., 2007). Amongst various histone acetylation sites tested including H3S10P, H3K9ac, H3K14ac, 354 and H2AK5ac (Figure 4E), we observed that H3K9acS10P was most responsive to synaptic activity, with low baseline 355 levels and consistently reproducible induction within minutes of synaptic activation, suggesting that the very early 356 phosphoacetylation of H3K9acS10P may constitute a highly specific chromatin signature of recently activated neurons.

The PHF8-TIP60 complex modulates activity-induced H3K9S10P acetylation

Individual reports have shown that neurons are able to induce both H3S10 phosphorylation and histone acetylation in response to synaptic activity (Soriano et al., 2009, Wittmann et al., 2009), but the mechanisms by which this occurs and the possible functions of these modifications are not yet elucidated. Our observations indicate that the dual histone m ark H3K9acS10P is a highly activity-dependent chromatin modification. In order to investigate whether PHF8 and TIP60 have an effect on the occurrence of H3K9acS10P, we performed high-resolution imaging of activated neuronal nuclei. Using immunofluorescence microscopy of neurons activated with chemLTP, we validated that ARC protein, which is known to be highly regulated by activity, was induced in only a subset of the neuronal population (Figure 5a). In these neurons that were positive for ARC, nuclear levels of endogenous TIP60 highly paralleled the increase in H3K9acS10P
 (Figure 5b), corroborating the results from the high-content analysis (Figure 4).

367 We then asked if PHF8 could affect this increase in the activity-dependent acetylation of H3K9acS10P. To answer this question, we transfected neurons with either wild-type PHF8 or the clinical mutant PHF8-F279S, and imaged them 368 369 after chemLTP induction. Staining for both ARC and H3K9acS10P indicated that neurons with increased PHF8 have 370 significantly higher H3K9acS10P and a higher probability of ARC protein expression (Figure 5C-D). On the other hand, 371 knockdown of PHF8 through transfection of two shRNAs significantly decreases H3K9acS10P (Figure 6A). In order to 372 more accurately delineate this interaction and to circumvent the problem that we could only visualize 3 proteins at a 373 time immunohistochemically, we performed Structured Illumination Microscopy which revealed that the complex 374 containing PHF8 and TIP60 directly associated with H3K9acS10P in the activated neuronal nucleus (Figure 5E).

375 Time-course chromatin immunoprecipitation of neurons activated by chemLTP and showed that while H3K9me2 376 levels decreased at the Arc TSS, H3K9acS10P was massively upregulated within 10 minutes of synaptic activity (Figure 377 7A-B). ChIP analyses of rapid early recruitment of PHF8 and TIP60 to the Arc TSS (Figure 7C-D). which coincided with a 378 surge in H3K9acS10P phosphoacetylation at the same genomic locus (Figure 7B) that was not seen in other activity-379 dependent gene promoters and control genomic loci. Thus, taken together with the results obtained from high-content imaging, time-course ChIP data seems to suggest that the nuclear reorganization and active recruitment of PHF8 and 380 381 TIP60 protein into gene promoters may be a possible mechanism by which neural activity causes changes in histone 382 acetylation and methylation status that in turn influence the transcription of neuronal genes such as Arc.

383 The PHF8-TIP60 interactome is rich in proteins involved in transcription and includes the neuronal splicing factor PSF

The identification of an activity-dependent chromatin modifying complex may have wide-ranging implications in neuronal functions. We sought to investigate the possible functions of PHF8 and TIP60 by examining the protein partners they interact with. Both PHF8 and TIP60 have been implicated in various aspects of neuronal function and gene transcription (Kleine-Kohlbrecher et al., 2010, Tea and Luo, 2011), but a low-bias view of the PHF8-TIP60 interactome has not been established. We therefore performed immunoprecipitation followed by mass spectrometry of nuclear extracts from Hek293T cells transfected with PHF8-YFP or TIP60-YFP. The immunoprecipitates were run on a gel stained with Coomassie blue and bands were excised for mass spectrometric analysis. A large majority of the proteins which were found to immunoprecipitate with PHF8 and TIP60 had functions in transcription, splicing, and RNA processing (Figure 8). Amongst the top interactors that were prominently represented by both the PHF8 and TIP60 IP-MS were the splicing factor SFPQ, also known as Polypyrimidine tract-binding protein-associated Splicing Factor (PSF) and its partner NONO, as well as several ATP-dependent RNA helicases including DDX17, DDX21, and DDX3X, which strongly suggested that PHF8 and TIP60 may be functioning in transcription-related processes.

396 Endogenous PHF8, TIP60, and PSF are found within 30 nm of each other in the activated neuronal nucleus

397 Although PHF8 and TIP60 are found to tightly co-localize when viewed using conventional immunofluorescence 398 microscopy, it remains difficult to ascertain precisely how these chromatin modifying enzymes are positioned relative to 399 each other in the nucleus as the resolution of a conventional light microscopy is limited to 250 nm, nearly twenty times 400 the diameter of a single nucleosome (11 nm). To overcome this hurdle, we employed 3D-STORM (3-Dimensional 401 Stochastic Optical Reconstruction Microscopy), which has a resolution limit of 20 nm, allowing us to directly observe 402 endogenous PHF8 and TIP60 interactions at the single molecule level. Indeed as suggested by proximity ligation, single-403 molecule imaging by STORM shows that a large majority of PHF8 proteins were found to associate with TIP60 (Figure 9). 404 Within the interaction hotspots, PHF8 and TIP60 were found to co-localize in an interaction radius of 20 nm (Figure 9A), 405 which is less than the diameter of the 30 nm packed chromatin fibre, demonstrating for the first time the association of 406 a histone demethylase and an acetyltransferase at level of a single nucleosome. As we had the capability to view these 407 complexes three-dimensionally we were able to observe that the single-molecule interaction between PHF8 and TIP60 408 did not occur in a random orientation, but rather had a specific spatial relationship (Figure 9B; compare the projections in the xy, xz, and yz planes). Interestingly, we observed that molecules of PHF8 and TIP60 were found to form a linearly 409 well-defined interface, which prompted us to investigate what may be lying within. We investigated several candidate 410 proteins garnered through the IP-MS screen (Figure 8), and found that the splicing factor PSF was situated at this 411 412 interface between PHF8 and TIP60: tri-color 3-D STORM imaging shows that PHF8, TIP60 and PSF form a well-defined tri-413 partite complex in the neuronal nucleus (Figure 10A-C, Movie 1).

414 **Discussion**

415 Chromatin modification has a major role in the generation of complex behaviors such as learning and memory (Alarcon 416 et al., 2004, Peixoto and Abel, 2013). Paradigms of memory formation such as contextual fear conditioning induces changes in neuronal transcriptional programs through dramatic alterations of chromatin structure (Gupta et al., 2010, 417 418 Bousiges et al., 2013), yet the mechanisms by which chromatin-modifying enzymes regulate gene expression in response 419 to neuronal activity are still unclear (Roth and Sweatt, 2009, Vogel-Ciernia and Wood, 2013). Here we show that the 420 activity-dependent induction of memory consolidation genes is facilitated by a novel dual function chromatin-modifying 421 complex. Specifically, demethylation of the transcriptionally suppressive H3K9me2 mark is linked to the acetylation of 422 H3 through a specific interaction between the H3K9me2-specific X-linked mental retardation protein PHF8 and the 423 Alzheimer's disease-associated TIP60. Results presented in this study indicate that the PHF8-TIP60 complex may function 424 as an epigenetic initiator of rapid Arc gene induction likely by interacting with mRNA processing proteins such as the 425 neuronal splicing factor PSF and by upregulating H3K9acS10P, a chromatin modification that highly favors transcriptional 426 elongation at the Arc transcriptional start site.

A chromatin-modifiying complex that regulates histone methylation and acetylation in response to synaptic activity

428 Neuronal cells respond to environmental cues by altering chromatin signatures that affect gene transcription (Crosio et 429 al., 2003). Whether or not specific histone marks are involved in transcriptional activation is the subject of intense 430 research. Histone acetylation is thought to be a transcriptionally permissive modification which is important in memory 431 formation (Peixoto and Abel, 2013), while histone methylation may be activating or repressive (Jarome and Lubin, 2013). 432 It has been known for a long time that both modifications are related to transcription (Allfrey et al., 1964) and recent 433 evidence suggests that histone acetylation and methylation may be regulated cooperatively to activate transcription 434 (Latham and Dent, 2007). For instance, histone acetylation correlates strongly with methylation in the context of gene 435 induction (Zhang et al., 2004, Nightingale et al., 2007). In hippocampal neurons, electroconvulsive seizures induce 436 changes in transcription by altering chromatin acetylation and methylation in a locus-specific manner (Tsankova et al.,

427

437 2004). Nevertheless, little is known about how the enzymes responsible for acetylation and methylation cooperate and
438 which chromatin modifications, if any, are required for neuronal gene transcription.

439 Studies done in Drosophila and mammals support the evolutionarily conserved role of a particular histone methylation mark, H3K9me2, in learning and memory (Gupta-Agarwal et al., 2014). PHF8 is a H3K9me2-specific histone demethylase 440 441 that is clinically found to be mutated in a severe form of X-linked mental retardation (Laumonnier et al., 2005). PHF8 possesses a Plant Homeo Domain (PHD) finger domain targeting it to transcriptional start sites, and a Jumonji catalytic 442 443 domain which is capable of removing the transcriptionally repressive mark H3K9me2/1 and H4K20me1 (Qi et al., 2010). 444 The role of PHF8 mutations in causing mental retardation has been attributed to the inability of mutant PHF8 to activate 445 ribosomal DNA transcription (Feng et al., 2010). Other studies suggest that PHF8 is a positive regulator of mRNA 446 transcription, as it physically associates with RNA Polymerase II along with transcription factors such as c-Myc and E2F 447 (Asensio-Juan et al., 2012). Like PHF8, TIP60 has been found to be recruited to chromatin by c-Myc and E2F, where it has 448 a function in transcriptional activation of both ribosomal and messenger RNA (Sapountzi et al., 2006). Although it has an 449 emerging neurological phenotype (Lorbeck et al., 2011) and has been implicated in the pathogenesis of Alzheimer's 450 disease (Cao and Sudhof, 2001), TIP60 has no currently known function in activity-dependent gene induction.

451 We report evidence that both PHF8 and TIP60 are located in the interchromatin space where transcription is thought to 452 occur (Tycon et al., 2014). PHF8 shows a unique sub-nuclear distribution constituting a large number of small puncta, 453 whose localization overlapped robustly with that of the acetyltransferase TIP60 (Figure 1d). Furthermore, exogenous PHF8 is seen to recruit endogenous TIP60 and vice versa, while proximity ligation and co-immunoprecipitation 454 455 experiments both demonstrate that PHF8 interacts with TIP60. This physical interaction and the observation that 456 overexpression of a demethylase increases histone acetylation prompted us to ask whether PHF8 and TIP60 may be 457 cooperatively modifying chromatin. Cross-talk between histone acetylation and methylation has been noted to be 458 biologically significant (Kennedy et al., 2013), yet the interaction between demethylation and acetylation, along with the 459 identity of the enzymes responsible for these changes are still unknown. The data presented here shows that PHF8 and TIP60 increase H3 acetylation predominantly on transcriptionally permissive H3K4me3-bearing chromatin, suggesting 460 461 that the increase in acetylation may be specific to transcriptionally active genomic locations.

462 Given the identification of a complex that is capable of cooperatively modifying chromatin, we now address the issue of 463 which specific histone marks may be regulated in response to neuronal activity. We observed that PHF8, but not the 464 clinical mutant PHF8-F279S, is able to specifically downregulate the transcriptionally suppressive histone mark H3K9me2, 465 consistent with its role as a transcriptional co-activator. However, besides removing H3K9me2, PHF8 tightly associates 466 with H3K9ac, an important histone mark that is associated with paused RNA Polymerase II (Margaritis and Holstege, 467 2008). This unexpected association was the first clue that demethylation and acetylation may be linked in neurons, 468 specifically at the H3K9 epigenetic locus. Besides being implicated in evolutionarily conserved roles of learning and 469 memory, the H3K9 locus is peculiar in that it can be acetylated or methylated, often with opposing biological 470 consequences. In order to examine which histone marks are activity-regulated, we used a high-content screening 471 platform to observe epigenetic changes at the network level, where we found that a treatment paradigm consisting of 4-472 aminopyridine, Bicuculline, and Forskolin, which has been shown to increase synaptic activity and cause LTP (Otmakhov 473 et al., 2004), increases nuclear levels of PHF8 and TIP60 protein specifically in neurons that succesfully induce the 474 immediate-early gene Arc (Figure 4A-C). Consistent with the increase in PHF8, we found that activity transiently 475 downregulated the PHF8 substrate H3K9me2 (Figure 4D). To our surprise, while immunostaining with the H3K9ac and 476 H3K14ac antibodies did not show a robust regulation with regard to activity (Figure 4E), we detected a highly specific 477 activity-regulated increase in the dual histone mark H3K9acS10P (Figure 4D), suggesting that a highly specific chromatin 478 switch may exist in the bivalent H3K9 residue, but only in the context of S10 phosphorylation. Our findings thus 479 characterize H3K9acS10P as an epigenetic chromatin signature that is faithfully produced by synaptic activity. The 480 importance of the dual-mark H3K9acS10P is corroborated by previous reports which show that contextual fear 481 conditioning and novel environment exposure induced changes in H3S10 phosphorylation, H3 acetylation and H3K9me2 482 demethylation (Levenson et al., 2004, Chwang et al., 2006, Gupta et al., 2010, Gupta-Agarwal et al., 2014). A direct 483 mechanism of combinatorial histone modification proposed by our study is that demethylation of the H3K9 residue by 484 PHF8 in turn allows for its acetylation by TIP60 in the dual function complex which may happen concurrently with or 485 following the phosphorylation of the adjacent H3S10 (Duan et al., 2008). Further research is needed to optimally dissect 486 the pathways that converge on H3S10 phosphorylation and the role that PHF8-TIP60 may play in this process.

487 Regulation of neuronal gene transcription by H3K9acS10P, a chromatin modification specific for transcriptional 488 elongation

What could be the function of this neuronal activity-regulated chromatin modification complex? Clinically, mutations in PHF8 protein that render it enzymatically inactive cause severe cognitive deficits (Laumonnier et al., 2005, Loenarz et al., 2010). While no known clinical mutation in TIP60 has yet been reported, nervous system-specific loss of TIP60 acetyltransferase activity dramatically worsens the Alzheimer's disease phenotype in *Drosophila* (Pirooznia et al., 2012, Johnson et al., 2013, Xu et al., 2014). Furthermore, recent research shows that both PHF8 and TIP60 have important roles in transcriptional elongation (Halkidou et al., 2004, Wang et al., 2009, Mahajan and Stanley, 2014). Taken together, these evidence strongly point toward a role for PHF8 and TIP60 in transcriptional activation.

496 Given our current findings, we postulate that the PHF8-TIP60 complex may be functioning to increase the expression of 497 neuronal genes such as Arc through the enzymatic modulation of H3K9acS10P, which is a highly important chromatin 498 modification that mediates transcriptional elongation (Macdonald et al., 2005, Winter et al., 2008, Zippo et al., 2009, 499 Karam et al., 2010, Li et al., 2013). Three lines of reasoning led to this conclusion: first, PHF8 and TIP60 nuclear levels 500 paralleled the activity-dependent increase of H3K9acS10P and the surge in the expression of Arc, which became 501 upregulated from extremely low baseline levels. Second, overexpression of PHF8 significantly enhanced the formation of H3K9acS10P and ARC protein, whereas the X-linked mental retardation mutant PHF8-F279S failed to produce the same 502 503 effect, indicating that the demethylase activity of PHF8 is critical. Consistent with this view, inhibition of PHF8 gene 504 expression using two different shRNAs significantly decreased H3K9acS10P induction. Third, using high-resolution 505 Structured Illumination Microscopy, we directly show that both PHF8 and TIP60 are tightly bound to H3K9acS10P. In 506 summary, neuronal PHF8 and TIP60 may influence gene transcription by acting as activity-dependent writers of H3K9ac, 507 which is known to be upregulated in novel environment exposure (Bousiges et al., 2013) and fear conditioning (Peleg et 508 al., 2010), but only in the context of H3S10 phosphorylation, which has previously been shown to be critical for 509 associative memory (Levenson and Sweatt, 2005).

The link between H3K9acS10P and transcription has been made in light of recent evidence showing that H3K9acS10P is required for transcriptional elongation through recruitment of the double bromodomain enzyme BRD4 and the 512 elongation factor pTEF-b (Ong and Corces, 2011). Here we show that in neurons, PHF8, TIP60, and H3K9acS10P were 513 found to be specifically enriched in the Arc TSS in an activity-dependent manner (Figure 7B-D). An intriguing possibility 514 raised by this study is that upregulation of H3K9acS10P by PHF8 and TIP60 may serve as the initial impetus that 515 transduces synaptic activity to the nucleus to drive the rapid transcription of Arc, which is poised for near-instantaneous 516 transcription through promoter-proximal Pol II stalling (Saha et al., 2011). It is worth noting that the highly rapid 517 transcriptional activation through release of Pol II into the elongation state is a conserved and well-characterized 518 mechanism of gene induction (Hargreaves et al., 2009). By allowing for the locus-specific formation of H3K9acS10P 519 which may serve as a platform for binding of elongation factors, the PHF8-TIP60 complex may be tipping the scale in 520 favor of transcriptional elongation in response to synaptic activity.

521 Further evidence implicating this complex in the active transcriptional process is our finding that an overwhelming 522 majority of the binding partners of PHF8 and TIP60 consisted of proteins involved in mRNA splicing and processing 523 (Figure 8). One highly represented protein in the PHF8-TIP60 interactome is the RNA Polymerase II-associated splicing 524 factor PSF (Polypyrimidine tract-binding protein-associated Splicing Factor) (Emili et al., 2002, Rosonina et al., 2005), 525 which is reported to play a key role in neuronal development (Lowery et al., 2007), alternative splicing (Kim et al., 2011), 526 and is found to be dysregulated in neurodegenerative diseases (Ke et al., 2012). Although the interaction between PHF8 and PSF may be partly explained by the affinity of these proteins to the C-terminal domain of RNA Polymerase II 527 528 (Fortschegger et al., 2010), the highly structured molecular interaction between PHF8, TIP60, and the splicing factor PSF 529 (Figure 10) points towards a transcriptional role for the PHF8-TIP60 complex and supports the idea that pre-mRNA processing may be occuring co-transcriptionally (Ameur et al., 2011, Lee and Tarn, 2013, Bentley, 2014). 530

In an attempt to directly observe this neuronal activity-regulated chromatin-modifying complex, we characterized the PHF8-TIP60 interaction in the neuronal nucleus at the single-molecule level using the single-molecule imaging technique 3D-STORM (Rust et al., 2006, Huang et al., 2008). Analysis at super-resolution reveals that interactions that are reportedly overlapping at the resolution of conventional light microscopy may be more complex than they seem, as we see PHF8 and TIP60 molecules not colocalizing perfectly but rather tightly associating with each other in an interaction radius of less than 20 nm, which comes increasingly close to the resolution needed to observe the "beads on a string" 537 structure of nucleosomes on which general transcription is thought to occur (Smolle and Venkatesh, 2014). It was only 538 upon 3-dimensional rendering, however, that we see these chromatin-modifying enzymes interacting in a well-defined 539 spatial orientation with a clear interphase (Figure 9B). Intriguingly, consistent with IP-MS data, our work using 3-color 3-540 D STORM situates the splicing factor PSF in the middle of this interface between PHF8 and TIP60 (Figure 10), offering a 541 glimpse into the mechanism of co-transcriptional splicing in activated neuronal nuclei.

542 Could a chromatin mark such as H3K9acS10P serve as a regulator of memory formation processes through the 543 modulation of transcription? If so, can the ability of neurons to change chromatin structure be altered enzymatically to facilitate or inhibit activity-dependent gene induction? The findings summarized in this report point to a mechanism by 544 545 which synaptic activity may be transduced into the nucleus as an early epigenetic event that mediates downstream 546 processes such as transcription. PHF8 is unique in that it has a PHD domain that is specific for transcriptionally active 547 H3K4me3 coupled to demethylase activity against transcriptionally suppressive H3K9me2/1 and H4K20me (Vermeulen 548 et al., 2010) making it well-suited to be a transcriptional activator (Perner and Chung, 2013). Transcription elongation, 549 however, requires extensive histone acetylation of residues such as H3K9 and H4K16 which serve as nucleosomal 550 binding sites for BRD-4 to increase RNA Pol II processivity (Zippo et al., 2009). By associating with the histone 551 acetyltransferase TIP60 and activating histone acetylation in a highly targeted manner, PHF8 may indeed serve as the 552 link between transcription initiation and elongation. A possible mechanism which may therefore be proposed is that 553 rapid phosphoacetylation of H3K9acS10P by activity-dependent PHF8 and TIP60 may promote the escape of stalled RNA 554 Polymerase II and thereby transcription of immediate-early genes. A direct consequence from such a proposal is that abrogation of PHF8 function would prove to be detrimental towards learning-induced neuronal H3K9acS10P formation 555 556 and the resulting neuroplasticity-related gene expression programs. Hence, the findings described here at least partly 557 explains the limited capability to consolidate memories seen in patients who lack functional PHF8, and instigates further research into the possibility of altering activity-dependent gene transcription by modulation of epigenetic enzymes. 558

In summary, this work supports the idea that a neuronal dual-function chromatin modifying complex containing PHF8 and TIP60 may serve as an epigenetic gateway to memory formation processes by regulating H3K9acS10P, a learninginduced, activity-dependent chromatin mark that enables *de novo* activity-dependent gene transcription. Future research into the modulation of epigenetic enzymes such as these may have potential applications in the development

563 of novel therapeutics for disorders of learning and memory.

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786 Legends

787 Figure 1. PHF8 and TIP60 colocalize and recruit each other in neuronal interchromatin space.

A. Endogenous PHF8 immunostained with anti-PHF8 antibody (ab36068, Abcam) forms hundreds of discrete puncta that specifically
 localize to the interchromatin space in hippocampal neurons (representative z-slice of a hippocampal neuronal nucleus – left panel =
 widefield [WF], right panel = Structured Illumination Microscopy [SIM]). Scale bar corresponds to 1um.

791 B. Endogenous TIP60 forms puncta of roughly the same caliber as those of PHF8 above (left panel = hippocampal nucleus in WF,

right panel = SIM) which also localize to the interchromatin space. Scale bar = 1um.

793 C. A representative field of hippocampal neurons stained with an antibody against the phosphorylated CTD of RNA Polymerase II

794 (YSPTSPS phospho S5, abbreviated to S5P), showing that S5P, a marker of the transcription initiation complex, localizes to the same

nuclear compartment as PHF8 in the nucleus. Scale bar = 1um.

D, A hippocampal neuronal nucleus outlined in blue, showing the localization of spectrally distinct PHF8-tdTomato and TIP60-CFP
 pseudo-colored in green and red, respectively, which overlapped completely in the nuclear interchromatin space (Merge channel,
 yellow pixels indicate co-localization). DAPI was used to stain the DNA (blue). Scale bar = 0.2um.

799 E. When TIP60 is overexpressed by itself in hippocampal neurons (middle panel, in red), endogenous PHF8 (left panel, in green) is

seen to be recruited to the TIP60 puncta in hippocampal neurons (right panel, Merge). DAPI was used to stain the DNA (blue). Scale

801 bar = 0.2um. Asterisk (*) indicates endogenous protein staining.

802 **F.** When PHF8 is overexpressed by itself (left panel, in green) in hippocampal neurons, endogenous TIP60 (middle panel) is seen to

be recruited to the PHF8 puncta (right panel, Merge). DAPI was used to stain the DNA (blue). Scale bar = 0.2um. Asterisk (*) indicates
endogenous protein staining.

805 G. Endogenous TIP60 is located within 30nm of PHF8 as shown by P-LISA, showing distinct areas where PHF8 interacts with

endogenous TIP60 (red spots) on the border with DAPI-dense regions (blue). Scale bar = 0.5um.

807 H. Two Hek293 nuclei are shown, one is positive for PHF8 shRNA (outlined in red) while the other is not (outlined in green). Positive

808 PHF8-TIP60 interaction hotspots were stained as red punctae. Scale bar = 0.5um.

809 I. Quantification of the number of hotspots found in cells transfected with PHF8, PHF8 shRNA, the mutant PHF8 F279S, or in F279S-

transfected cells expressing PHF8 shRNA were quantified using Blobfinder, and the means and standard errors were displayed in a

bar chart (triple asterisks indicating statistical significance using the unpaired t-test; p-value=<0.0001).

812 J. Double immunofluorescence confirming the existence of PHF8 in the identified PLA hotspots where PHF8 and TIP60 interact. Scale

813 bar = 0.5um.

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815 Figure 2. PHF8 and TIP60 physically associate to form a dual function chromatin-modifying complex

816 A. Coimmunoprecipitation of PHF8 and TIP60 in HEK293T nuclear extracts, where TIP60-YFP was pulled down with anti-GFP antibody

817 and PHF8-FLAG was detected with anti-FLAG by Western blotting.

818 B. Pulldown of PHF8-YFP showed that TIP60-FLAG was detected in the IP fraction but not in the YFP-only control lane.

c. Endogenous coimmunoprecipitation of PHF8 and TIP60 in DIV12 cortical neuronal nuclear extracts, showing that PHF8 is able to
 be pulled down by both the anti-PHF8 antibody and anti-TIP60 antibody, but not the anti-GFP antibody.

D-E. Truncated constructs of TIP60 protein (A to F) containing the indicated TIP60 domains **E.** were fused to YFP and then cotransfected with full length PHF8-FLAG and immunoprecipitated with an anti-GFP antibody. Western analysis was performed to detect PHF8-FLAG in the immunoprecipitates using the anti-FLAG antibody. A negative control of YFP only is denoted by (-) whereas

824 full-length TIP60 served as a positive control (+).

F-G. Total histones from HEK293 cells overexpressing PHF8, TIP60, or both PHF8 and TIP60 were separated on Triton X-Acetic Acid Urea (TAU) gels (F) or conventional SDS-PAGE (G). Overexpression of TIP60 alone increases H3K9 acetylation in HEK293 cells for both
 the H3.1 and H3.3 isoforms, whereas acetylation of the non-TIP60 substrate H2BK5 was not affected. Co-expression of PHF8 and
 TIP60 increases H3.3 K9 acetylation to even higher levels.

H. Chromatin immunoprecipitation using an antibody specific to H3K9me2, showing that overexpression of wild-type PHF8 but not
 the clinical mutant F279S (legend: U=unbound or input levels of H3K9me2, B=bound or immunoprecipitated H3K9me2).

I-J. ChIP assays of HEK293T cells transfected with PHF8, TIP60, or both analyzing histone tails positive for H3K4me3, the transcriptionally-activating histone mark that is known to be bound by PHF8, show that the increase in H3K9ac (I) and H3K14ac (J) is specific to histones carrying H3K4me3, and that this histone population was enriched in H3.3 (as shown by the more intense staining of this isoform on the TAU gel; asterisk). Western blot of the same lysates using an H3.3 antibody serves as loading control. The right panel shows bar graphs quantifying the increase in H3.3K9 and H3.3K14 acetylation, relative to the untransfected control (n=3; p-value = 0.19 for PHF8 only, 0.04 for TIP60 only, 0.02 for PHF8+TIP60).

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Figure 3. PHF8 removes the repressive histone mark H3K9me2 and associates with the activating histone mark H3K9ac

A. A representative hippocampal neuronal nucleus outlined in blue, transfected with PHF8-CFP (pseudo-colored green), showing a
 marked decrease in the repressive chromatin mark H3K9me2 (pseudo-colored blue) in the nuclear domains occupied by PHF8, which

is not seen when the neuron is untransfected **B**. or when the mutant PHF8-F279S was transfected **C**.

842 D. The same hippocampal nucleus depicted in A. showing the association of PHF8 puncta with the histone acetylation mark H3K9ac

843 (arrows numbered 1-6 point to regions that correspond to insets 1-6 on the right; green+red = yellow)

E. Quantitation of the intensity of H3K9me2 staining in each nucleus (each symbol marks the H3K9me2 density of a single neuron),

845 showing that PHF8-expressing neurons have significantly lower H3K9me2 density, whereas mutant PHF8 F279S-transfected neurons

846 show the opposite effect (*** = p-value <0.0001; ns = not significant)

Figure 4. Neuronal activity reorganizes PHF8 and TIP60 in the nucleus and effectuate histone methylation and acetylation changes

A. A representative image of a pair of hippocampal neuronal nuclei during the first 5 minutes of 4AP+Bic+Fors treatment and then at
45 minutes, showing the activity-dependent increase of PHF8 and TIP60 protein in the nucleus.

B. Neural network activity visualized by Ca²⁺ imaging (gCamp6 intensity over time), with each different-colored line representing
 individual neurons, before (left panel) and after (right panel) treatment with 4-AP+Bic.

6. Dot plot of nuclear levels of PHF8 and TIP60 (unpaired t-test; p-value=<0.0001; one-way ANOVA F=33.23, R²=0.3693). Each symbol
 represents the intensity of PHF8 or TIP60 staining from a single neuronal nucleus, lines correspond to the mean and SEM of all
 neurons imaged at the indicated time points.

D. mRNA levels of PHF8 show a biphasic peak with time of chemLTP, whereas TIP60 shows an initial upregulation but a return to
 baseline within 45 minutes of sustained activity.

857 E. Time-courses of chromatin modification of neurons imaged using high-content screening (n=500-1000/site, 6 sites/well, 96-well;

858 ImageXpress Micro, Molecular Devices) show an activity-dependent decrease in the overall per-nucleus intensity of H3K9me2

staining in neural networks treated with chemLTP, which coincides with a robust increase in H3K9acS10P.

F. Graphs of nuclear PHF8 as a function of nuclear TIP60 levels at 0, 5, 45 minutes of neural network activation in ARC-positive vs
 ARC-negative neurons, showing two identifiable distinct populations of neurons. The bar graph indicates levels of TIP60 (red) and
 PHF8 (green) as a function of time of synaptic activation (in minutes; y-axis). PHF8 and TIP60 are both highly induced within as early
 as 5 minutes of synaptic activation (p-value = 0.00001)

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865 Figure 5. PHF8 and TIP60 modulate neuronal activity-induced histone acetylation at H3K9acS10P and activation of the Arc gene

A. Representative microscopic field of hippocampal neurons after 1 hour of network activation by chemLTP, showing a positive correlation between the expression of Arc (red) and Tip60 (blue) with the phosphoacetylation mark H3K9acS10P (green). The bottom panels show three different neurons that induced varying amounts of ARC protein. The neuron expressing the highest amount of ARC (3) also has high amounts of H3K9acS10P.

B. Quantification of twenty immunofluorescence-analyzed fields exemplified in A. showing a statistically significant increase in
 H3K9acS10P as well as endogenous TIP60 in ARC-expressing neurons (n=347 neurons; p-value = 0.00001).

c. Fusion constructs of PHF8 and its mutant F279S were individually expressed in hippocampal neurons and the next day the neuronal network was activated using ChemLTP (4AP+Bicuculline+Forskolin). After 1 hour of upregulated synaptic activity, the expression of PHF8, but not its mutant F279S, significantly increases histone acetylation at H3K9acS10P (n=397 neurons; p-value = 0.00001).

D. A representative microscopic field of neuronal nuclei after 1 hour of ChemLTP, with neuronal nuclei stained by DAPI outlined in
 magenta, showing the induction of ARC protein expression in a small subset of neurons, one of which had been transfected with
 PHF8-CFP (blue), and is high in H3K9acS10P (green).

E. A representative z-plane of a 3-D SIM image of a neuronal nucleus after 1 hour of chemLTP treatment, showing endogenous
 nuclear PHF8 puncta (green) and endogenous TIP60 (red) associating with the histone acetylation mark H3K9acS10P (blue). Triangles
 mark three selected regions, which are shown at higher magnification in the bottom panels, showing strong association between the
 PHF8-TIP60 complex and H3K9acS10P in the activated neuronal nucleus.

883 Figure 6. Knockdown of PHF8 impairs activity-dependent induction of H3K9acS10P and Arc and Fos expression

A. Neurons transfected with PHF8 shRNA1 and subsequently treated with chemLTP activation for 3 hours (DAPI-stained nuclei are
 outlined in magenta) were immunostained for H3K9acS10P and ARC. The right panel shows a corresponding quantification of the
 staining density (intensity / area / nucleus) normalized to the mean density for each condition, showing a significant decrease in
 H3K9acS10P induction as well as Arc gene expression (p-value = 0.0052).

B-C. Representative microscopic fields showing neurons transfected with PHF8 shRNA1 **B.** and PHF8 shRNA2 **C.** and subsequently treated with chemLTP activation for 3 hours (DAPI-stained nuclei are outlined in magenta), immunostained for products of immediate-early genes *Arc* and *Fos*. The right panel shows a corresponding quantification of the staining density (intensity / area / nucleus) normalized to the mean density for each condition, showing that shRNA knockdown by two individual PHF8 shRNAs succesfully inhibited *Arc* and *Fos* induction (b: p-values = 0.0301, 0.0408; c: p-values = 0.0002, 0.0452).

894 Figure 7. PHF8 and TIP60 are actively recruited to specific neuronal gene promoters

A. Recruitment of of PHF8 (red trace), TIP60 (green trace) to the transcriptional start site of the immediate-early gene Arc within
 minutes of synaptic activation (x-axis: time of increased network activity, in minutes). The blue trace shows H3K9acS10P enrichment
 at the Arc TSS after 10 minutes of synaptic activation.

B-C. Time-course ChIP followed by qRT-PCR using primers against the transcriptional start site regions of the Arc gene, Arc Synaptic
 Response Element, Ribosomal Protein L19 (Rpl19), Neuronal PAS Domain Protein 4 (Npas4), and Synaptophysin. Both TIP60 B. and
 PHF8 C. are recruited to the Arc TSS within minutes of chemLTP activation of the neural network, but not to the Rpl19, Npas4, or
 Synaptophysin transcriptional start sites.

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903 Figure 8. Common interacting partners between PHF8 and TIP60 function primarily in transcription and mRNA processing

7op. A Venn diagram showing several interacting partners of PHF8 and TIP60 as identified by immunoprecipitation followed by mass spectrometry. The overlapped region in the middle represents common partners that interact with PHF8 and TIP60, which include the splicing factor SFPQ (PSF) and its partner NONO, as well as several ATP-dependent RNA helicases, and the histone chaperone Nucleolin. Proteins that have known acetylation sites are marked by a triangle (Chowdhary et al, 2009). Arrows indicate known functional interactions between identified proteins. Font size indicates the percentage of the total protein that the identified MS/MS peptides covered (large font: >25% coverage, medium font: 5-25% coverage, small font: <5% coverage). Histone proteins identified in the IP-MS are in bold face.

Bottom. A listing of the top 8 biological functions attributed to the proteins identified in the IP-MS of both PHF8 and TIP60 in order of abundance, as computed by the software DAVID (<u>http://david.abcc.ncifcrf.gov/home.jsp</u>) with the associated p-value and Benjamini factor, showing that interactors of PHF8 and TIP60 are enriched in the functions of RNA processing, RNA splicing, and mRNA processing.

915 Figure 9. Endogenous TIP60 is located within 30nm of PHF8 in the activated hippocampal neuronal nucleus

A. A maximum intensity projection of a dual color 3-D STORM image of a hippocampal neuronal nucleus that has undergone 1 hour
 of chemLTP. The neuron has been labeled for endogenous TIP60 (red) and endogenous PHF8 (green), showing that the two
 molecules closely interact in various localized puncta in the nucleus. Scale bar corresponds to 1um. The insets on the right six
 representative complexes at higher magnification (scale bar = 50nm).

B. A highly magnified view of two endogenous PHF8-TIP60 complexes shown in the outlined area in A.. The insets on the right show
3 projections of the single-molecule interaction between PHF8 and TIP60 viewing down the X-, Y-, and Z-axis, demonstrating that the
complexes formed between these two chromatin-modifying enzymes have well-defined spatial relationship. Each dot corresponds

923 to the localization of a single molecule, and each scale bar corresponds to 50nm.

Figure 10. PHF8 and TIP60 form a tripartite complex with the splicing factor PSF and associates with newly transcribed nascent
 RNA

A. A maximum intensity projection of a 3-D STORM image of an activated hippocampal neuronal nucleus. Single-molecule imaging of
 endogenous PHF8 (green), endogenous TIP60 (red), and PTB-associated Splicing Factor (blue), with the corresponding single channel
 views. Each dot corresponds to the localization of a single molecule. Scale bar = 500nm.

B-C. Four representative higher magnification views of the neuronal nucleus depicted in A., showing that PSF (blue) forms a tailing
structure within the interface between PHF8 (green) and TIP60 (red) viewed axially B. or longitudinally C. as a recognizable tri-partite
complex. Scale bar = 50nm

934 Abbreviations

- 935 Arc = Activity-Regulated Cytoskeletal-associated protein (NCBI gene ID 11838 in Mus musculus)
- 936 CFP = Cyan Fluorescent Protein
- 937 DAPI = 4',6-diamidino-2-phenylindole; marker of cellular DNA
- 938 Fos = FBJ osteosarcoma oncogene (NCBI gene ID 14281 in Mus musculus)
- 939 GFP = Green Fluorescent Protein
- 940 H3 = Histone 3
- 941 H3K9 = Histone 3 Lysine 9
- 942 H3K9ac = Histone 3 Lysine 9 acetylated
- 943 H3S10p = Histone 3 Serine 10 phosphorylated
- 944 H3K9acS10P = Histone 3 Lysine 9 acetylated Serine 10 phosphorylated
- 945 HEK293 = Human Embryonic Kidney cells 293
- 946 IEG = Immediate-Early Gene
- 947 MYST = MOZ, YBF2, SAS2, TIP60 family of acetyltransferases
- 948 nm = nanometer $(1 \times 10^{-9} \text{ m})$
- 949 NONO = Non-POU Domain Containing, Octamer-Binding, heterodimerizes with PSF in mRNA transcription
- 950 Pol II = RNA Polymerase II
- 951 PSF = Polypyrimidine Tract Binding protein-associated Splicing Factor, also known as SFPQ (NCBI gene ID 71514 in Mus
- 952 Musculus)
- 953 SIM = Structured Illumination Microscopy
- 954 STORM = Stochastic Optical Reconstruction Microscopy
- 955 YFP = Yellow Fluorescent Protein

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PHF8

TIP60

Rank	GO Term	%	P-Value	Benjamini	Rank	<u>GO Term</u>	%	P-Value	Benjamini
1	RNA processing	23	2.1E-31	2.8E-28	1	RNA processing	21	3.1E-06	1.4E-03
2	mRNA metabolic process	19	1.1E-30	7.7E-28	2	RNA splicing	14	6.7E-05	7.6E-03
3	mRNA processing	17	5.0E-28	2.3E-25	3	mRNA processing	14	1.4E-04	1.3E-02
4	RNA splicing	16	6.3E-27	2.1E-24	4	mRNA metabolic process	14	3.5E-04	2.6E-02
5	nuclear mRNA splicing, via spliceosome	10	1.1E-18	3.0E-16	5	DNA metabolic process	12	9.5E-03	2.5E-01
6	RNA splicing, via transesterification	10	1.1E-18	3.0E-16	6	response to DNA damage stimulus	11	1.1E-02	2.7E-01
7	DNA metabolic process	9.4	4.9E-06	3.9E-04	7	nuclear mRNA splicing, via spliceosome	8.8	2.2E-03	1.4E-01
8	macromolecular complex assembly	9.4	3.3E-04	1.4E-02	8	RNA splicing, via transesterification	8.8	2.2E-03	1.4E-01



